

ENSIGN GROUP, INC
Form 4
June 11, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Snapper Suzanne D.

(Last) (First) (Middle)

27101 PUERTA REAL, STE 450

(Street)

MISSION VIEJO, CA 92691

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENSIGN GROUP, INC [ENSG]

3. Date of Earliest Transaction (Month/Day/Year)
06/09/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 06/09/2014 | | M | | 5,598 | A | \$ 9.11 |
| Common Stock | 06/10/2014 | | M | | 7,710 | A | \$ 6.55 |
| Common Stock | 06/10/2014 | | M | | 7,598 | A | \$ 8.11 |
| Common Stock | 06/11/2014 | | M | | 100 | A | \$ 8.11 |
| Common Stock | 06/11/2014 | | M | | 5,121 | A | \$ 6.55 |
| | | | | | | | 36,226 |
| | | | | | | | 43,936 |
| | | | | | | | 51,534 |
| | | | | | | | 51,634 |
| | | | | | | | 56,755 |

Edgar Filing: ENSIGN GROUP, INC - Form 4

| | | | | | | | |
|--------------|------------|-------------|--------------|---|----------------------|--------|---|
| Common Stock | 06/11/2014 | M | 5,979 | A | \$ 6.02 | 62,734 | D |
| Common Stock | 06/09/2014 | <u>S(2)</u> | 5,598 (2) | D | \$ 30.2291 (3) | 57,136 | D |
| Common Stock | 06/10/2014 | <u>S(2)</u> | 7,710 | D | \$ 29.6441 (4) | 49,426 | D |
| Common Stock | 06/10/2014 | <u>S(2)</u> | 7,598 (5) | D | \$ 29.6423 | 41,828 | D |
| Common Stock | 06/11/2014 | <u>S(2)</u> | 100 | D | \$ 29.5 | 41,728 | D |
| Common Stock | 06/11/2014 | <u>S(2)</u> | 5,121 | D | \$ 29.1666 (6) | 36,607 | D |
| Common Stock | 06/11/2014 | <u>S(2)</u> | 5,979 | A | \$ 29.1666 (6) | 30,628 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee Stock Options (right to buy) | \$ 9.11 | 06/09/2014 | | M | 5,598 | 01/22/2009 ⁽¹⁾ | 01/22/2018 | Common Stock | 5,598 |
| | \$ 6.55 | 06/10/2014 | | M | 7,710 | 07/31/2009 ⁽¹⁾ | 07/31/2018 | | 7,710 |

| Employee Stock Options (right to buy) | Exercise Price | Grant Date | Exercise Type | Quantity | Expiration Date | Term | Underlying Security | Quantity |
|---------------------------------------|----------------|------------|---------------|----------|---------------------------|------------|---------------------|----------|
| Employee Stock Options (right to buy) | | | | | | | Common Stock | |
| Employee Stock Options (right to buy) | \$ 8.11 | 06/10/2014 | M | 7,598 | 10/29/2009 ⁽¹⁾ | 10/29/2018 | Common Stock | 7,598 |
| Employee Stock Options (right to buy) | \$ 8.11 | 06/11/2014 | M | 100 | 10/29/2009 ⁽¹⁾ | 10/29/2018 | Common Stock | 100 |
| Employee Stock Options (right to buy) | \$ 6.55 | 06/11/2014 | M | 5,121 | 07/31/2009 ⁽¹⁾ | 07/31/2018 | Common Stock | 5,121 |
| Employee Stock Options (right to buy) | \$ 6.02 | 06/11/2014 | M | 5,979 | 01/22/2009 ⁽¹⁾ | 01/22/2018 | Common Stock | 5,979 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Snapper Suzanne D. 27101 PUERTA REAL, STE 450 MISSION VIEJO, CA 92691 | | | Chief Financial Officer | |

Signatures

Suzanne Snapper 06/11/2014

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option vests in five equal installments at 20% each year.
- (2) The sales reported in the Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted on March 13, 2014
- (3)

Edgar Filing: ENSIGN GROUP, INC - Form 4

This transaction was executed in multiple trades at pricing ranging from \$29.8800 to \$30.5600. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price point.

- (4) This transaction was executed in multiple trades at pricing ranging from \$29.3600 to \$29.8400. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price point.

- (5) This transaction was executed in multiple trades at pricing ranging from \$29.4700 to \$29.8500. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price point.

- (6) This transaction was executed in multiple trades at pricing ranging from \$28.9800 to \$29.5350. The price above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request by the commission staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each price point.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.