

NCI BUILDING SYSTEMS INC
Form 4
March 09, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GINN KELLY R

(Last) (First) (Middle)
10943 NORTH SAM HOUSTON
PARKWAY WEST
(Street)

HOUSTON, TX 77064

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NCI BUILDING SYSTEMS INC
[NCS]

3. Date of Earliest Transaction
(Month/Day/Year)
03/07/2005

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Pres. & COO/Metal Comp. Div.

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock, \$0.01 par value | 03/07/2005 | | M | 4,167 A | \$ 18 62,495 | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | | M | 3,676 A | \$ 15.3 66,171 | D | |
| Common Stock, \$0.01 par | 03/07/2005 | | M | 7,050 A | \$ 15.15 73,221 | D | |

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| | | | | | | | | | |
|--------------------------------|------------|---|-------|---|----------|--------|--|---|--------------------------|
| value | | | | | | | | | |
| Common Stock, \$0.01 par value | 03/07/2005 | M | 2,143 | A | \$ 17.5 | 75,364 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 5,036 | D | \$ 41.1 | 70,328 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 5,000 | D | \$ 41.25 | 65,328 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 4,400 | D | \$ 41.3 | 60,928 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 1,200 | D | \$ 41.31 | 59,728 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 600 | D | \$ 41.32 | 59,128 | | D | |
| Common Stock, \$0.01 par value | 03/07/2005 | S | 800 | D | \$ 41.34 | 58,328 | | D | |
| Common Stock, \$0.01 par value | | | | | | 16,716 | | I | By Trusts (1) |
| Common Stock, \$0.01 par value | | | | | | 7,558 | | I | By NCI 401(k) plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. De Se (In | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------------------------|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| | | | | | | Code | V | (A) | (D) |
| Options to purchase common stock | \$ 18 | 03/07/2005 | | M | 4,167 | <u>(2)</u> 12/14/2010 | | Common Stock, \$0.01 par value | 4,167 |
| Options to purchase common stock | \$ 15.3 | 03/07/2005 | | M | 3,676 | <u>(3)</u> 06/14/2011 | | Common Stock, \$0.01 par value | 3,676 |
| Options to purchase common stock | \$ 15.15 | 03/07/2005 | | M | 7,050 | <u>(4)</u> 12/14/2011 | | Common Stock, \$0.01 par value | 7,050 |
| Options to purchase common stock | \$ 17.5 | 03/07/2005 | | M | 2,143 | <u>(5)</u> 06/14/2012 | | Common Stock, \$0.01 par value | 2,143 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

GINN KELLY R
10943 NORTH SAM HOUSTON PARKWAY
WEST
HOUSTON, TX 77064

Pres. & COO/Metal Comp.
Div.

Signatures

Kelly R. Ginn 03/09/2005

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Shares are held indirectly by Mr. Ginn as trustee of nine trusts for the benefit of his two children, four nieces, two nephews and one brother. Mr Ginn disclaims beneficial ownership of such shares.
- (2) Options vested in four equal annual installments beginning on December 15, 2001.
- (3) Options vest in four equal annual installments beginning on June 15, 2002
- (4) Options vest in four equal annual installments beginning on December 15, 2002
- (5) Options vest in four equal annual installments beginning on June 15, 2003

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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