Harvey Charles A Form 4 October 02, 2007

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5 obligations STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Harvey Charles A

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

(First) (Last)

JOHNSON CONTROLS INC [JCI] 3. Date of Earliest Transaction

(Check all applicable)

5757 N. GREEN BAY AVENUE, P.O. BOX 591 (Month/Day/Year)

Director 10% Owner X_ Officer (give title Other (specify below) below)

10/01/2007

Vice President

(Street)

4. If Amendment, Date Original

Code

(Instr. 8)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

MILWAUKEE, WI 53201-0591

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Indirect (I) Ownership Owned Following (Instr. 4) (Instr. 4)

(A)

Disposed of (D)

(Instr. 3, 4 and 5)

Reported Transaction(s)

or Code V Amount (D) Price

(Instr. 3 and 4)

Common Stock

(Instr. 3)

 $7,255.618 \frac{(1)}{}$

Common Stock

By 401(k) $1,975.0545 \stackrel{(2)}{=} I$ Plan Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Am Underlying Sec (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|--|-----------------|---|--------------|
| | j | | | Code V | (Instr. 3, 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | A N Sl |
| Phantom Stock Units/Annual Incentive Plan | (3) | | | Code v | (A) (D) | <u>(4)</u> | <u>(4)</u> | Common Stock | 8 |
| Phantom Stock Units - Restricted Stock Plan | (5) | | | | | <u>(5)</u> | <u>(5)</u> | Common Stock | |
| Stock Option | \$ 52.55 | | | | | 11/19/2005 | 11/19/2013 | Common Stock | |
| Stock Option | \$ 61.69 | | | | | 11/17/2006 <u>(6)</u> | 11/17/2014 | Common Stock | |
| Stock Option | \$ 67.685 | | | | | 11/16/2007(6) | 11/16/2015 | Common Stock | 2 |
| Stock Option | \$ 71.895 | | | | | 10/02/2008(6) | 10/02/2016 | Common Stock | 2 |
| Stock Option | \$ 120.63 | 10/01/2007 | | A | 14,000 | 10/01/2009(6) | 10/01/2017 | Common Stock | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|----------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Harvey Charles A 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591 | | | Vice President | | | | |
| Signatures | | | | | | | |
| Arlene D. Gumm, Attorney-in-Fac Harvey | t for Char | les A. | 10/02/200 | 7 | | | |

**Signature of Reporting Person

Reporting Owners 2

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 5,000 shares of a restricted stock grant. Fifty percent vests in two years on January 3, 2008 and the balance after four years on January 3, 2010.
- The number of underlying securities is based on the stock fund balance on October 1, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a October 1, 2007, stock fund price of \$120.63 per share.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (4) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock Grant.
- (6) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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