#### Edgar Filing: NCI BUILDING SYSTEMS INC - Form 4

NCI BUILDING SYSTEMS INC Form 4 October 16, 2006 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading GINN A R JR Issuer Symbol NCI BUILDING SYSTEMS INC (Check all applicable) [NCS] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_ Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) 10943 NORTH SAM HOUSTON 10/12/2006 Chairman & CEO PARKWAY WEST (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77064 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 1.Title of 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common **NCI** Stock, 993 I 401(k) \$0.01 par Plan (1) value Common Stock, 10/12/2006 Μ 74,000 \$ 29.2 259.644 D A \$0.01 par value Common 10/12/2006 S 25,800 D \$60 233,844 D Stock. \$0.01 par

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value						
Common Stock, \$0.01 par value	10/12/2006	S	100	D	\$ 59.98 233,744	D
Common Stock, \$0.01 par value	10/12/2006	S	300	D	\$ 59.96 <sup>233,444</sup>	D
Common Stock, \$0.01 par value	10/12/2006	S	100	D	\$ 59.94 233,344	D
Common Stock, \$0.01 par value	10/12/2006	S	600	D	\$ 59.8 232,744	D
Common Stock, \$0.01 par value	10/12/2006	S	200	D	\$ 59.77 232,544	D
Common Stock, \$0.01 par value	10/12/2006	S	300	D	\$ 59.76 <sup>232,244</sup>	D
Common Stock, \$0.01 par value	10/12/2006	S	46,600	D	\$ 59.75 <sup>185,644</sup>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orDerivative	Expiration Date	Underlying Securities	Ι
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)			(
	Derivative		-		or Disposed of			
	Security				(D)			
					(Instr. 3, 4,			
					and 5)			

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			Code V (A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to purchase common stock	\$ 29.2	10/12/2006	М	74,000	(2)	05/27/2014	Common stock, \$0.01 par value	74,000

## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships					
	Director	10% Owner	Officer	Other			
GINN A R JR 10943 NORTH SAM HOUSTON PARKWAY WES HOUSTON, TX 77064	ST X		Chairman & CEO				
Signatures							
Frances Powell Hawes (by power of							
attorney) 1	0/16/2006						
**Signature of Reporting Person	Date						
Frances Powell Hawes (by power of attorney)							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Holdings under 401(k) plan are reported as of the plan statement dated September 30, 2006.

(2) Options vest in four equal annual installments beginning on May 28, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.