

Alberto-Culver CO
Form 4
October 02, 2007

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BERNICK CAROL L

(Last) (First) (Middle)

**C/O ALBERTO-CULVER
COMPANY, 2525 ARMITAGE
AVENUE**

(Street)

MELROSE PARK, IL 60160

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
Alberto-Culver CO [ACV]

3. Date of Earliest Transaction
(Month/Day/Year)
10/01/2007

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Chairman

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)			
			Code	V	Amount		
Common Stock					723,843	I	FN7 ⁽⁷⁾
Common Stock					224,808	I	FN2 ⁽²⁾
Common Stock					13,141	I	FN8 ⁽⁸⁾
Common Stock					5,955,857	I	FN4 ⁽⁴⁾
Common Stock					1,357,331	I	FN3 ⁽³⁾

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Common Stock	500,000	I	FN10 ⁽¹⁰⁾
Common Stock	500,000	I	FN9 ⁽⁹⁾
Common Stock	609,859	I	FN11 ⁽¹¹⁾
Common Stock	150,300	I	FN6 ⁽⁶⁾
Common Stock	240,030	I	FN1 ⁽¹⁾
Common Stock	316,096	I	FN5 ⁽⁵⁾
Common Stock	566,431	I	FN13 ⁽¹³⁾
Common Stock	247,436	I	FN12 ⁽¹²⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 24.995	10/01/2007		A		142,000		<u>(14)</u>	09/30/2017	Common Stock	142,000

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

Reporting Owners

BERNICK CAROL L
C/O ALBERTO-CULVER COMPANY X X Chairman
2525 ARMITAGE AVENUE
MELROSE PARK, IL 60160

Signatures

/s/James M. Spira as attorney-in-fact for Carol L.
Bernick

10/02/2007

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Held by the undersigned as co-trustee of three trusts dated 11/14/89 each holding 80,010 shares and each for the benefit of a different relative***
- (2) Held by the undersigned as trustee of a trust dated 4/23/93 for her benefit.
- (3) Held by the undersigned as trustee of a trust dated 10/31/98 for the benefit of her sister.***
- (4) Held by the undersigned as trustee of a trust dated 4/17/02 for her benefit.
- (5) Held by the undersigned as trustee of two trusts dated 2/2/06 for her benefit, which trusts are Grantor Annuity Trusts and hold an equal number of shares.
- (6) Held by the undersigned as co-trustee of a trust dated 10/20/72 for her benefit.
- (7) Held by the undersigned as trustee of a trust dated 9/15/93 for her benefit.
- (8) Held by the undersigned as a participant in the Alberto-Culver Company Employees' Profit Sharing Plan.
- (9) Held by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her father.***
- (10) Held by the undersigned as co-trustee of a trust dated 12/18/87 for the benefit of her mother.***
- (11) Held by the undersigned as co-trustee of a trust dated 9/18/01 for her benefit.
- (12) Held by the undersigned as trustee of two trusts dated 7/27/06 for her benefit, which trusts are Grantor Annuity Trusts and hold an equal number of shares.
- (13) Held by the undersigned as trustee of a trust dated 8/31/07 for her benefit, which trust is a Grantor Annuity Trust.
- (14) This option, which was granted under the Employee Stock Option Plan of 2006, vests in four equal annual installments beginning on September 30, 2008.

Remarks:

***The filing of this report shall not be deemed an admission by the undersigned that she is the beneficial owner of these securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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