

Edgar Filing: Barings BDC, Inc. - Form 8-K

Barings BDC, Inc.
Form 8-K
August 08, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the
Securities Exchange Act of 1934
Date of Report (Date of earliest event reported): August 2, 2018

Barings BDC, Inc.
(Exact name of registrant as specified in its charter)

Maryland 814-00733 06-1798488
(State or Other Jurisdiction (Commission (IRS Employer
of Incorporation) File Number) Identification No.)

300 South Tryon Street, Suite 2500 28202
Charlotte, North Carolina
(Address of Principal Executive Offices) (Zip Code)
Registrant's telephone number, including area code: (704) 805-7200
Not Applicable
(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

--Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
--Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
--Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
--Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))
Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2).
Emerging growth company
If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 1.01. Entry into a Material Definitive Agreement.

In connection with the closing of those transactions contemplated by that certain externalization agreement, dated April 3, 2018, by and between Barings BDC, Inc. (f/k/a Triangle Capital Corporation) (the “Company”) and Barings LLC, on August 2, 2018, the Company entered into that certain Master Custodian Agreement by and between the Company and State Street Bank and Trust Company (the “Custodian”) pursuant to which the Custodian will serve as the custodian of certain assets owned by the Company.

The foregoing description of the Master Custodian Agreement is a summary only, and is qualified in its entirety by reference to the underlying agreement. The Master Custodian Agreement is attached as Exhibits 10.1 of this Current Report on Form 8-K and is incorporated herein by reference.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No.	Description
10.1	<u>Master Custodian Agreement, dated August 2, 2018, by and between the Company and State Street Bank and Trust Company</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Barings BDC, Inc.

Date: August 8, 2018 By: /s/ Jonathan Bock
Jonathan Bock
Chief Financial Officer