Edgar Filing: NCI BUILDING SYSTEMS INC - Form 4

NCI BUILDING SYSTEMS INC Form 4 December 18, 2007 **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Dobbins Mark W Issuer Symbol NCI BUILDING SYSTEMS INC (Check all applicable) [NCS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Other (specify X_Officer (give title (Month/Day/Year) below) below) 10943 NORTH SAM HOUSTON 12/15/2007 Pres & CEO of EBS Division PARKWAY WEST (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Applicable Line) Filed(Month/Day/Year) _X_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77008 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect (Instr. 3) anv Code Disposed of (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Owned Indirect (I) Ownership (Month/Day/Year) (Instr. 8) (Instr. 4) (Instr. 4) Following Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, 12/15/2007 4.942 \$0 35,845 D A А \$0.01 par value Common By NCI Stock, 351 Ι 401(k) \$0.01 par plan (1) value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 Persons who respond to the collection of information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration D	ate	Amou	int of	Derivative	Deriv
or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
Derivative				Securities			(Instr.	3 and 4)		Owne
Security				Acquired						Follo
				(A) or						Repo
				Disposed						Trans
				of (D)						(Instr
				(Instr. 3,						
				4, and 5)						
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
	Conversion or Exercise Price of Derivative	Conversion (Month/Day/Year) or Exercise Price of Derivative	Conversion(Month/Day/Year)Execution Date, ifor ExerciseanyPrice of(Month/Day/Year)Derivative	Conversion (Month/Day/Year) Execution Date, if Transaction or Exercise any Code Price of (Month/Day/Year) (Instr. 8) Derivative Security	Conversion or Exercise(Month/Day/Year)Execution Date, if anyTransactiorNumber Codeof ofPrice of Derivative(Month/Day/Year)(Instr. 8)Derivative SecuritiesSecurityAcquired (A) or Disposed of (D) (Instr. 3,	Conversion (Month/Day/Year) Execution Date, if any Code of (Month/Day/Year) Price of (Month/Day/Year) (Instr. 8) Derivative Security Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) Date Exercisable	Conversion or Exercise Price of Derivative Security(Month/Day/Year)Execution Date, if any (Month/Day/Year)TransactionNumber Code of (Instr. 8)Expiration Date (Month/Day/Year)Securitive Securities Acquired of (D) (Instr. 3, 4, and 5)Derivative Securities Acquired of (D) (Instr. 3, 4, and 5)Date Expiration Date	Conversion or Exercise Price of Derivative Security(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transacti-Number Code ofExpiration Date (Month/Day/Year)Amou Under SecurityDerivative Security(Month/Day/Year)(Instr. 8)Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)Title	Conversion or Exercise Price of Derivative(Month/Day/Year)Execution Date, if any (Month/Day/Year)TransactiorNumber Code of (Instr. 8)Expiration Date (Month/Day/Year)Amount of UnderjugSecuritive Security(Month/Day/Year)(Instr. 8)Derivative SecuritiesSecurities (Instr. 8)Instr. 8)Derivative (Month/Day/Year)Securities (Instr. 8)Instr. 8)Securities (Instr. 8)Instr. 8)Securities (Instr. 8)Instr. 8)Securities (Instr. 8)	Conversion or Exercise Price of Derivative Security(Month/Day/Year)Execution Date, if any (Month/Day/Year)Transactior Image of (Instr. 8)Expiration Date (Month/Day/Year)Amount Uderive Securities (Instr. 5)Derivative Securities (Month/Day/Year)Derivative Securities (Instr. 8)Amount Securities (Instr. 8)Derivative Securities (Instr. 8)Derivative Securities (Instr. 8)Amount Securities (Instr. 9)Derivative Securities (Instr. 3) (Instr. 3)Amount Image (Instr. 3) (Instr. 3) (Instr. 3)ConversionImage (Image (Image)Image

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Dobbins Mark W 10943 NORTH SAM HOUSTON PARKWAY WEST HOUSTON, TX 77008			Pres & CEO of EBS Division				
Signatures							
Frances Hawes (by power of attorney) 12/18/2007							

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings under 401(k) plan are reported based on the last plan statement provided as of December 14, 2007

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.