

ALPINE TOTAL DYNAMIC DIVIDEND FUND  
Form 4  
September 01, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lieber Samuel A

2. Issuer Name and Ticker or Trading Symbol  
ALPINE TOTAL DYNAMIC DIVIDEND FUND [AOD]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
 Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President

(Last) (First) (Middle)  
C/O ALPINE WOODS CAPITAL INVESTORS, 2500 WESTCHESTER AVENUE, SUITE 215

3. Date of Earliest Transaction (Month/Day/Year)  
08/28/2015

(Street)  
PURCHASE, NY 10577

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)      | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|--------------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                      |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |
| Common shares of beneficial interest | 08/28/2015                           |  | P                              |   | 35,000  | A  | \$ 8.042                          |
|                                      |                                      |  |                                |   | 47,500 <sup>(1)</sup>   | D  |                                   |
| Common shares of beneficial interest | 08/31/2015                           |  | P                              |   | 15,000  | A  | \$ 8.045                          |
|                                      |                                      |  |                                |   | 62,500 <sup>(1)</sup>   | D  |                                   |
|                                      | 08/28/2015                           |  | P                              |   | 35,000  | A  | 47,500 <sup>(2)</sup>             |
|                                      |                                      |  |                                |   |   | I  |                                   |

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|                                      |            |  |   |        |          |          |                       |   |                          |
|--------------------------------------|------------|--|---|--------|----------|----------|-----------------------|---|--------------------------|
| Common shares of beneficial interest |            |  |   |        | \$ 8.042 |          |                       |   | By Charitable Foundation |
| Common shares of beneficial interest | 08/31/2015 |  | P | 15,000 | A        | \$ 8.045 | 62,500 <sup>(2)</sup> | I | By Charitable Foundation |
| Common shares of beneficial interest |            |  |   |        |          |          | 25,000 <sup>(3)</sup> | I | By Limited Partnership   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |           |       |
|---|---------------|-----------|-----------|-------|
|   | Director      | 10% Owner | Officer   | Other |
| Lieber Samuel A<br>C/O ALPINE WOODS CAPITAL INVESTORS<br>2500 WESTCHESTER AVENUE, SUITE 215<br>PURCHASE, NY 10577 | X             |           | President |       |

## Signatures

/s/ Samuel A.  
Lieber

09/01/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 12,500 shares held individually which reflect the impact of 1 for 2 reverse stock split on January 21, 2014. The balance held in joint tenancy with wife
- (2) Shares held prior to the reported purchase reflect the impact of 1 for 2 reverse stock split on January 21, 2014
- (3) Reflects the effect of 1 for 2 reverse stock split on January 21, 2014

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