

AMERICAN ELECTRIC POWER CO INC
 Form 4
 May 03, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

OMB APPROVAL
 OMB Number: 3235-0287
 Expires: January 31, 2015
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Barton Lisa M

2. Issuer Name and Ticker or Trading Symbol
 AMERICAN ELECTRIC POWER CO INC [AEP]

5. Relationship of Reporting Person(s) to Issuer
 (Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction
 (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
 Executive Vice President

AMERICAN ELECTRIC POWER, 1 RIVERSIDE PLAZA

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

COLUMBUS, OH 43215

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|-----------------|
| | | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 05/01/2017 | | M | | 1,969 (1) | A | | \$ 67.64 (4) |
| Common Stock | 05/01/2017 | | F | | 944 | D | | \$ 67.64 (4) |
| Common Stock | 05/01/2017 | | D | | 1,025 | D | | \$ 67.64 (4) |
| Common Stock | 05/01/2017 | | M | | 1,831 | A | | \$ 1,831 |

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| | | | | | | | |
|--------------|------------|---|--------------|---|------------|-------|---|
| Stock | | | <u>(2)</u> | | 67.64 | | |
| | | | | | <u>(4)</u> | | |
| | | | | | \$ | | |
| Common Stock | 05/01/2017 | F | 878 | D | 67.64 | 953 | D |
| | | | | | <u>(4)</u> | | |
| | | | | | \$ | | |
| Common Stock | 05/01/2017 | D | 953 | D | 67.64 | 0 | D |
| | | | | | <u>(4)</u> | | |
| | | | | | \$ | | |
| Common Stock | 05/01/2017 | M | <u>1,391</u> | A | 67.64 | 1,391 | D |
| | | | <u>(3)</u> | | <u>(4)</u> | | |
| | | | | | \$ | | |
| Common Stock | 05/01/2017 | F | 667 | D | 67.64 | 724 | D |
| | | | | | <u>(4)</u> | | |
| | | | | | \$ | | |
| Common Stock | 05/01/2017 | D | 724 | D | 67.64 | 0 | D |
| | | | | | <u>(4)</u> | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|------------------|-----------------|--------------|----------------------------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Restricted Stock Units | <u>(1)</u> | 05/01/2017 | | M | | 1,969 | | 05/01/2017 | 05/01/2017 | Common Stock | 1,969 |
| Restricted Stock Units | <u>(2)</u> | 05/01/2017 | | M | | 1,831 | | 05/01/2017 | 05/01/2017 | Common Stock | 1,831 |
| | <u>(3)</u> | 05/01/2017 | | M | | 1,391 | | 05/01/2017 | 05/01/2017 | | 1,391 |

Restricted
Stock
Units

Common
Stock

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Barton Lisa M AMERICAN ELECTRIC POWER 1 RIVERSIDE PLAZA COLUMBUS, OH 43215 | | | Executive Vice President | |

Signatures

/s/ Thomas G. Berkemeyer, Attorney-in-Fact for Lisa M.
Barton

05/03/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) A portion of Ms. Barton's restricted stock units (1,969) that were granted on 12/10/2013 vested on 5/1/2017. Upon vesting, 944 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
 - (2) A portion of Ms. Barton's restricted stock units (1,831) that were granted on 2/24/2015 vested on 5/1/2017. Upon vesting, 878 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
 - (3) A portion of Ms. Barton's restricted stock units (1,391) that were granted on 2/23/2016 vested on 5/1/2017. Upon vesting, 667 restricted stock units were withheld to satisfy the reporting person's tax liability and the remaining restricted stock units were settled in cash.
 - (4) Value is based on 20 day average closing price of \$67.64.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.