iBio, Inc. Form 4/A October 17, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

**OMB APPROVAL** 

burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person ** Eastern Capital LTD			2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			iBio, Inc. [ibio]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
P. O. BOX 31363,			(Month/Day/Year) 10/15/2013	Director X 10% Owner Officer (give title below) Other (specify below)			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year) 01/19/2012	Applicable Line) Form filed by One Reporting Person			
GRAND CA	AYMAN, E9	KY1-1206	V.1.2/12/12	_X_ Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities	Acquired, Disposed of, or Beneficially Owned			
1 Title of	2 Transaction	Date 24 Deep	med 3 4 Securities Acquire	ed (A) 5 Amount of 6 7 Nature			

							· · · · · · · · · · · · · · · · · · ·		•
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. Transactio	4. Securities a	•	ed (A)	5. Amount of Securities	6. Ownership	7. Nature of Indirect
(Instr. 3)		any	Code	(Instr. 3, 4 an	d 5)		Beneficially	Form:	Beneficial
		(Month/Day/Year)	(Instr. 8)				Owned	Direct (D)	Ownership
		•					Following	or Indirect	(Instr. 4)
					(4)		Reported	(I)	
					(A)		Transaction(s)	(Instr. 4)	
			C + V		or	ъ.	(Instr. 3 and 4)		
			Code V	Amount	(D)	Price			
Common						\$			
	10/15/2013		O	7,500,000	A	0.4	21,960,000	D	
Stock						(1)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: iBio, Inc. - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of Derivative TransactionSecurities Acquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Underlying (Instr. 3 and	
							Date Exercisable	Expiration Date	Title
				Code V	(A)	(D)			
Warrant (right to buy)	\$ 0.88	10/15/2013		<u>J(2)</u>		7,500,000	01/14/2013	01/14/2014	Common Stock
Warrant (right to buy)	\$ 0.4	10/15/2013		J(2)	7,500,000		01/14/2013	01/14/2014	Common Stock
Warrant (right to buy)	\$ 0.4	10/15/2013		O		7,500,000	01/14/2013	01/14/2014	Common Stock

# **Reporting Owners**

\*\*Signature of Reporting

Person

Kenneth B. Dart

\*\*Signature of Reporting

Person

Reporting Owner Name / Address			Relationships					
			10% Owner	Officer	Other			
Eastern Capital LTD P. O. BOX 31363 GRAND CAYMAN, E9 I		X						
Portfolio Services Ltd. 45 MARKET STREET, S P.O. BOX 31363 GRAND CAYMAN, E9 I		X						
DART KENNETH BRYAN P. O. BOX 31300 GRAND CAYMAN, E9 KY1-1206			X					
Signatures								
Eastern Capital Limited	10/17/2013							
**Signature of Reporting Person	Date							
Portfolio Services Ltd.	10/17/2013							

Date

10/17/2013

Date

Reporting Owners 2

Edgar Filing: iBio, Inc. - Form 4/A

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported securities are common stock of the Issuer acquired pursuant to the exercise of a Warrant on October 15, 2013 at an exercise price per share of \$0.40.
  - The reported security is a Warrant that originally allowed the reporting persons to acquire up to 7,500,000 shares of common stock of the Issuer at an exercise price per share of \$0.88 during the exercise period from January 14, 2013 until January 14, 2014. On October
- (2) 15, 2013 the Warrant was amended to reduce the exercise price per share to \$0.40 until November 12, 2013, after which time the exercise price will revert back to \$0.88 until expiration of the Warrant on January 14, 2014. No consideration was paid or received by the reporting persons in connection with the amendment.
- (3) On October 15, 2013, simultaneous with the amendment of the Warrant, Eastern Capital Limited exercised the Warrant in full.

#### Remarks:

Eastern Capital Limited is an investment entity that owns the securities reported on this Form 4. Portfolio Services Ltd. is a hornous Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.