Gerard Robert A Form 4 May 04, 2009

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Gerard Robert A

2. Issuer Name and Ticker or Trading Symbol

**BROADPOINT SECURITIES** 

GROUP, INC. [BPSG]

(Month/Day/Year)

04/29/2009

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

Issuer

(Check all applicable)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner Officer (give title

\_ Other (specify

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

C/O BROADPOINT SECURITIES GROUP, INC., 12 EAST 49TH STREET, 31ST FLOOR

(First)

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

NEW YORK, NY 10017

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	rities Acqui	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit corr Dispos (Instr. 3, 4)	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	04/29/2009		P	6,471	A	\$ 3.99	6,471	D	
Common Stock	04/29/2009		P	1,100	A	\$ 3.9399	7,571	D	
Common Stock	04/29/2009		P	800	A	\$ 3.9599	8,371	D	
Common Stock	04/29/2009		P	500	A	\$ 3.96	8,871	D	
	04/29/2009		P	429	A	\$ 3.94	9,300	D	

#### Edgar Filing: Gerard Robert A - Form 4

Common Stock							
Common Stock	04/29/2009	P	1,700	A	\$ 3.97	11,000	D
Common Stock	04/29/2009	P	8,600	A	\$ 4	19,600	D
Common Stock	04/29/2009	P	400	A	\$ 3.9999	20,000	D
Common Stock	04/29/2009	P	1,500	A	\$ 4.03	21,500	D
Common Stock	04/30/2009	P	2,200	A	\$ 4.2399	23,700	D
Common Stock	04/30/2009	P	2,601	A	\$ 4.24	26,301	D
Common Stock	04/30/2009	P	29,455	A	\$ 4.25	55,756	D
Common Stock	04/30/2009	P	2,944	A	\$ 4.2499	58,700	D
Common Stock	04/30/2009	P	300	A	\$ 4.23	59,000	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	5. tionNumber of ) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	
				Code '	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gerard Robert A C/O BROADPOINT SECURITIES GROUP, INC. 12 EAST 49TH STREET, 31ST FLOOR NEW YORK, NY 10017



## **Signatures**

/s/ Robert A. 05/04/2009 Gerard

\*\*Signature of Date
Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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