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BRISTOL MYERS SQUIBB CO

Form 3 May 15, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(City)

(State)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement BRISTOL MYERS SOUIBB CO [BMY] Gordon Murdo (Month/Day/Year) 05/05/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) **BRISTOL-MYERS SQUIBB** (Check all applicable) COMPANY, Â 345 PARK **AVENUE** 10% Owner Director (Street) _X__ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SVP, Head of Worldwide Markets _X_ Form filed by One Reporting Person NEW YORK. NYÂ 10154 _ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D)

or Indirect (I)

(Instr. 5)

Â Common Stock, \$0.10 par value 205 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Zip)

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Market Share Units	(1)	03/06/2016	Common Stock, \$0.10 par value	1,282	\$ <u>(2)</u>	D	Â
Market Share Units	(3)	03/10/2017	Common Stock, \$0.10 par value	3,060	\$ <u>(2)</u>	D	Â
Market Share Units	(4)	03/10/2018	Common Stock, \$0.10 par value	5,514	\$ <u>(2)</u>	D	Â
Market Share Units	(5)	03/10/2019	Common Stock, \$0.10 par value	9,822	\$ <u>(2)</u>	D	Â
Performance Shares	(6)	03/10/2016	Common Stock, \$0.10 par value	8,095.32	\$ <u>(7)</u>	D	Â
Performance Shares	(8)	03/10/2017	Common Stock, \$0.10 par value	16,695.7	\$ <u>(8)</u>	D	Â
Restricted Stock Units	(9)	07/01/2016	Common Stock, \$0.10 par value	4,670	\$ <u>(10)</u>	D	Â
Restricted Stock Units	(11)	08/01/2018	Common Stock, \$0.10 par value	4,531	\$ <u>(10)</u>	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
, G	Director	10% Owner	Officer	Other	
Gordon Murdo					
BRISTOL-MYERS SQUIBB COMPANY	â	Â	SVP, Head of Worldwide Markets	â	
345 PARK AVENUE	А		A SVF, Head of Worldwide Markets		
NEW YORK Â NYÂ 10154					

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Signatures

/s/ Murdo Gordon 05/15/2015

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These market share units will vest on March 6, 2016.
- Each market share unit converts into the number of shares of common stock determined by applying a payout factor to the target number of shares vesting on a given date. The payout factor is a ratio of the average of the closing price on the measurement date plus the nine prior trading days divided by the average stock price on the grant date (also a 10-day average). The minimum payout factor that must be achieved to earn a payout is 60% and the maximum payout factor is 200%.
- (3) One-half of these market share units will vest on each of March 10, 2016 and March 10, 2017.
- (4) One-third of these market share units will vest on each of March 10, 2016, March 10, 2017, and March 10, 2018.
- (5) Twenty-five percent of these market share units will vest on each of the first, second, third, and fourth anniversaries of the grant date, starting on March 10, 2016.
- Consists of 7,739.66 performance shares and 355.66 performance shares representing dividend equivalents earned under the 2013-2015 Long-Term Performance Award.
- (7) Each performance share converts into one share of common stock upon distribution in the first quarter of 2016.
- (8) Each performance share converts into one share of common stock upon distribution in the first quarter of 2017, subject to a Total Shareholder Return modifier.
- (9) One-half of these restricted stock units will vest on each of July 1, 2015 and July 1, 2016.
- (10) Each restricted stock unit converts into one share of common stock upon vesting.
- (11) The restricted stock units vest in three equal annual installments beginning on August 1, 2016.

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Remarks:

EXHIBIT LIST: Exhibit 24 - Murdo Gordon Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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