

MULTIMEDIA GAMES HOLDING COMPANY, INC.

Form 4

October 02, 2013

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
AVILES JOAQUIN J.

2. Issuer Name and Ticker or Trading Symbol  
MULTIMEDIA GAMES HOLDING COMPANY, INC. [MGAM]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Vice President of Technology

MULTIMEDIA GAMES HOLDING COMPANY, INC., 206 WILD BASIN ROAD, BLDG. B, SUITE 400

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

AUSTIN, TX 78746

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |        |   |  |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|--------|---|--|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |        |   |  |
| Common Stock                    | 09/30/2013                           |  | M <sup>(1)</sup>               |   | 18,750  | A  | \$ 4.8  | 43,950 | D |  |
| Common Stock                    | 09/30/2013                           |  | M                              |   | 1,250   | A  | \$ 5.12   | 45,200 | D |  |
| Common Stock                    | 09/30/2013                           |  | M                              |   | 7,500   | A  | \$ 3.7  | 52,700 | D |  |
| Common Stock                    | 09/30/2013                           |  | M                              |   | 6,250   | A  | \$ 3.92   | 58,950 | D |  |

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|              |            |   |        |   |                      |        |   |
|--------------|------------|---|--------|---|----------------------|--------|---|
| Common Stock | 09/30/2013 | M | 14,062 | A | \$ 7.73              | 73,012 | D |
| Common Stock | 09/30/2013 | S | 47,812 | D | \$<br>34.4958<br>(2) | 25,200 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Employee Stock Option (Right to Buy)       | \$ 4.8   | 09/30/2013                           |  | M                              | 18,750  | 07/05/2009 07/05/2016                                    | Common Stock 18,750   |
| Employee Stock Option (Right to Buy)       | \$ 5.12  | 09/30/2013                           |  | M                              | 1,250   | 09/30/2009 09/30/2016                                    | Common Stock 1,250  |
| Employee Stock Option (Right to Buy)       | \$ 3.7   | 09/30/2013                           |  | M                              | 7,500   | 09/30/2010 09/30/2017                                    | Common Stock 7,500  |
| Employee Stock Option (Right to Buy)       | \$ 3.92  | 09/30/2013                           |  | M                              | 6,250   | 10/31/2010 10/31/2017                                    | Common Stock 6,250  |
| Employee Stock                             | \$ 7.73  | 09/30/2013                           |  | M                              | 14,062  | 12/12/2011 12/12/2018                                    | Common Stock 14,062   |

Option  
(Right to  
Buy)

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                              |       |
|---|---------------|-----------|------------------------------|-------|
|   | Director      | 10% Owner | Officer                      | Other |
| AVILES JOAQUIN J.<br>MULTIMEDIA GAMES HOLDING COMPANY,<br>INC.<br>206 WILD BASIN ROAD, BLDG. B, SUITE 400<br>AUSTIN, TX 78746 |               |           | Vice President of Technology |       |

## Signatures

/s/ Velissa Jewell, Attorney-in-Fact for Joaquin J.  
Aviles

10/02/2013

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The exercise and sales reported in this filing were made pursuant to the 10b5-1 sales plan of Reporting Person, signed on August 29, 2013.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$34.33 to \$34.8755, inclusive. The Reporting Person undertakes to provide to Multimedia Games Holding Company, Inc. (the "Company"), any security holder of the Company, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote 2 of this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.