Stock Yards Bancorp, Inc.

Form 4

March 28, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average

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**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

HEINTZMAN DAVID P			Symbol					Issuer			
			Stock Yards Bancorp, Inc. [SYBT]					(Check all applicable)			
(Last) 3019 POP	(First) PY WAY	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/24/2017					_X_ Director 10% OwnerX_ Officer (give title Other (specify below) Chairman & CEO			
LOLHOVI	(Street)	4. If Amendment, Date Original Filed(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)  _X_ Form filed by One Reporting Person  Form filed by More than One Reporting</li> </ul>				
LOUISVILLE, KY 40206								Person			
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3, 4	sposed 4 and : (A) or	of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	03/24/2017			Code V A	Amount 16,050	(D)	Price \$ 39.9	179,358	D		
Common Stock	03/24/2017			F	8,049	D	\$ 39.9	171,309	D		
Common Stock								6,061	I	By Spouse	
Common Stock								23,311	I	By 401k/ESOP-David Heintzman	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

#### Edgar Filing: Stock Yards Bancorp, Inc. - Form 4

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ie e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 14.02					02/16/2011	02/16/2020	Common Stock	26,325
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	21,573
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	36,411
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	25,015
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	28,989
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	21,742
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	24,799
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	13,273

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HEINTZMAN DAVID P

3019 POPPY WAY X Chairman & CEO

LOUISVILLE, KY 40206

## **Signatures**

/s/ David

Heintzman 03/28/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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