

Hillebrand James A
Form 4
October 02, 2018

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Hillebrand James A

(Last) (First) (Middle)

13308 LONGWOOD LANE

(Street)

GOSHEN, KY 40026

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Stock Yards Bancorp, Inc. [SYBT]

3. Date of Earliest Transaction (Month/Day/Year)

10/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
CEO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | | | | (A) or (D) | Amount | | Trust-Directors' Deferred Comp Plan |
| | | | | | 436.026 ⁽¹⁾ | I | |
| Common Stock | | | | | 21,303.16 | I | KSOP-fbo James Hillebrand |
| Common Stock | | | | | 11,634 | I | IRA-fbo Lynn Hillebrand |
| Common Stock | | | | | 57,993 | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable Expiration Date | Title Amount or Number of Shares |
| Stock Appreciation Right | \$ 35.9 | | | | | 02/20/2019 02/20/2028 | Common Stock 7,4 |
| Stock Appreciation Right | \$ 14.02 | | | | | 02/16/2011 02/16/2020 | Common Stock 13,5 |
| Stock Appreciation Right | \$ 15.84 | | | | | 03/15/2012 03/15/2021 | Common Stock 10,9 |
| Stock Appreciation Right | \$ 15.24 | | | | | 02/20/2013 02/20/2022 | Common Stock 19,6 |
| Stock Appreciation Right | \$ 15.26 | | | | | 02/19/2014 02/19/2023 | Common Stock 28,0 |
| Stock Appreciation Right | \$ 19.37 | | | | | 02/18/2015 02/18/2024 | Common Stock 16,4 |
| Stock Appreciation Right | \$ 22.96 | | | | | 03/17/2016 03/17/2025 | Common Stock 12,3 |
| Stock Appreciation Right | \$ 40 | | | | | 03/21/2018 03/21/2027 | Common Stock 7,5 |
| Stock Appreciation Right | \$ 25.76 | | | | | 03/15/2017 03/15/2026 | Common Stock 14,4 |

| | | | | | | | | | | |
|--------------|----------|------------|--|---|--------|------------|------------|--------|--------|--|
| Stock | | | | | | | | | | |
| Appreciation | \$ 39.32 | 10/01/2018 | | A | 25,000 | 10/01/2019 | 10/01/2028 | Common | 25,000 | |
| Right | | | | | | | | Stock | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| Hillebrand James A 13308 LONGWOOD LANE GOSHEN, KY 40026 | X | | CEO | |

Signatures

/s/ James A.
Hillebrand

10/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

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