**DAVIS NANCY B** Form 4 December 21, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

See Instruction

	•											
DAVIS NANCY B			2. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT]					C	Issuer			
(Last)	(First) (	Middle)				•	_	-	(C	heck all applic	able)	
4700 CROFTON ROAD			3. Date of Earliest Transaction (Month/Day/Year) 06/20/2018						Director 10% Owner Selfow) Other (specify below) below)  Executive V.P., Treasurer			
	(Street)		4. If Ame			nte Origina	al		6. Individual of Applicable Line _X_ Form filed	) by One Reportin	g Person	
LOUISVIL	LE, KY 40207								Person	by More than On	e Reporting	
(City)	(State)	(Zip)	Tab	le I - No	on-E	) Perivative	Secu	rities A	cquired, Dispose	d of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	e 2A. Deem Execution any (Month/Da	Date, if	3. Transa Code (Instr.	8)	4. Securi nAcquired Disposed (Instr. 3,	d (A) of (I) 4 and (A) or	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock							. ,		272	I	by 401K/ESOF FBO Nancy Davis	
Common	06/20/2018			G	V	150	D	<u>(1)</u>	105,337.925	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(2)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 35.9					02/20/2019	02/20/2028	Common Stock	4,081
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	9,187
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	7,723
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	5,959
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	7,305
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	3,975
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	5,226

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g	Director 10% Owner Officer	Other					
DAVIS NANCY B							
4700 CROFTON ROAD			Executive V.P., Treasurer				
LOUISVILLE, KY 40207							

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### **Signatures**

/s/ Nancy Davis 12/21/2018

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Gifted Shares to Charitable Organization
- (2) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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