THOMPSON KATHY C

Form 4

December 31, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB 3235-0287

OMB APPROVAL

Number:

January 31,

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0.5

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if no longer subject to Section 16. Form 4 or Form 5

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

12/31/2018

Stock

| 1. Name and Address of Reporting Person * THOMPSON KATHY C | | Syn | Issuer Name and Ticker or Trading mbol ock Yards Bancorp, Inc. [SYBT] | 5. Relationship of Reporting Person(s) to Issuer | | |
|--|---|--|--|--|--|--|
| (Last) | (First) | | Date of Earliest Transaction | (Check all applicable) | | |
| ` ' | RWAY VIEW CT | (Mo | onth/Day/Year) /31/2018 | _X_ Director 10% Owner Other (specify below) below) Sr. Executive Vice President | | |
| | (Street) | | f Amendment, Date Original | 6. Individual or Joint/Group Filing(Check | | |
| PROSPEC | T, KY 40059 | File | ed(Month/Day/Year) | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| (City) | (State) | (Zip) | Table I - Non-Derivative Securities A | cquired, Disposed of, or Beneficially Owned | | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date any (Month/Day/Yo | Code (Instr. 3, 4 and 5) | 5. Amount of Securities Ownership Indirect Beneficially Form: Beneficial Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4) | | |
| Common Stock | | | code v Amount (B) Tine | 31,775 I KSOP-FBO Kathy Thompson | | |
| Common | 12/21/2019 | | s 1500 D \$ | 27 022 (1) D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

S

1.500

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D

37,923 (1)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | te | 7. Title and A Underlying S (Instr. 3 and | Securities |
|---|---|---|---|--|--|---------------------|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Appreciation Right | \$ 19.37 | | | | | 02/18/2015 | 02/18/2024 | Common Stock | 13,180 |
| Stock Appreciation Right | \$ 22.96 | | | | | 03/17/2016 | 03/17/2025 | Common Stock | 9,885 |
| Stock Appreciation Right | \$ 25.76 | | | | | 03/15/2017 | 03/15/2026 | Common Stock | 11,362 |
| Stock Appreciation Right | \$ 40 | | | | | 03/21/2018 | 03/21/2027 | Common Stock | 5,962 |
| Stock Appreciation Right | \$ 35.9 | | | | | 02/20/2019 | 02/20/2028 | Common Stock | 5,739 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|------------------------------|-------|--|
| FB | Director | 10% Owner | Officer | Other | |
| THOMPSON KATHY C 6804 FAIRWAY VIEW CT PROSPECT, KY 40059 | X | | Sr. Executive Vice President | | |

Signatures

| /s/ Kathy C. Thompson | 12/31/2018 | | |
|---------------------------------|------------|--|--|
| **Signature of Reporting Person | Date | | |

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired through automatic dividend reinvestment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.