HEINTZMAN DAVID P

Form 4

January 03, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

3235-0287 Number:

OMB APPROVAL

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

			2. Issuer Name and Ticker or Trading Symbol Stock Yards Bancorp, Inc. [SYBT]				Issuer				
(Last) 3019 POP	(First)	(Mo	Date of Earliest Transaction Month/Day/Year) 1/02/2019					(Check all applicable) X Director 10% OwnerX Officer (give title Other (specify			
3017101							below)	Chairman of	elow) the Board		
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person				
LOUISVI	LLE, KY 40206						Form fill Person	led by More tha	n One Reporting		
(City)	(State)	(Zip)	Table I - Nor	ı-Derivati	ve Sec	curities	Acquired, Disp	osed of, or Bo	eneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, any (Month/Day/Yea	Code ar) (Instr. 8)	4. Securi orAcquirec Disposec (Instr. 3,	(A) or	D) 15)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock							35,070	I	By Spouse		
Common Stock							12,283	I	By 401k/ESOP-David Heintzman		
Common Stock	01/02/2019		A	894	A	<u>(1)</u>	142,437	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{lem:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 35.9					02/20/2019	02/20/2028	Common Stock	12,883
Stock Appreciation Right	\$ 15.84					03/15/2012	03/15/2021	Common Stock	21,573
Stock Appreciation Right	\$ 15.24					02/20/2013	02/20/2022	Common Stock	36,411
Stock Appreciation Right	\$ 15.26					02/19/2014	02/19/2023	Common Stock	25,015
Stock Appreciation Right	\$ 19.37					02/18/2015	02/18/2024	Common Stock	28,989
Stock Appreciation Right	\$ 22.96					03/17/2016	03/17/2025	Common Stock	21,742
Stock Appreciation Right	\$ 25.76					03/15/2017	03/15/2026	Common Stock	24,799
Stock Appreciation Right	\$ 40					03/21/2018	03/21/2027	Common Stock	13,273

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HEINTZMAN DAVID P 3019 POPPY WAY LOUISVILLE, KY 40206

X Chairman of the Board

Signatures

/s/ David

Heintzman 01/03/2019

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock unit grant with one year vesting.

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Reporting Owners 3