Edgar Filing: FIRST TRUST ENERGY INFRASTRUCTURE FUND - Form 5

FIRST TRUST ENERGY INFRASTRUCTURE FUND

Form 5

January 08, 2016

OMB APPROVAL FORM 5

OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION Number: Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Reported Form 4

Reported

1(b).

Transactions

1. Name and Address of Reporting Person * BRADLEY MARK R

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

Expires:

response...

Estimated average

burden hours per

FIRST TRUST ENERGY

INFRASTRUCTURE FUND [FIF] 3. Statement for Issuer's Fiscal Year Ended (Check all applicable)

(Middle) (Last) (First)

(Month/Day/Year)

below)

10% Owner Other (specify

3235-0362

January 31,

2005

1.0

11/30/2015

X_ Officer (give title below)

Director

President

C/O FIRST TRUST ADVISORS LP, 120 EAST LIBERTY DRIVE, SUITE 400

4. If Amendment, Date Original (Street)

Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

WHEATON, ILÂ 60187

X Form Filed by One Reporting Person Form Filed by More than One Reporting

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. Transaction Code

(Instr. 8)

4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned at end of Issuer's

6. Ownership Form: Direct (D) or Indirect (I)

(Instr. 4)

7. Nature of Indirect Beneficial Ownership

or Amount (D) Price

(A)

Fiscal Year (Instr. 3 and 4) (Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	isable and	7. Title and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	Number	Expiration Da	ate	Amount of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)
	Derivative				Securities			(Instr. 3 and 4	.)
	Security				Acquired				
	•				(A) or				
					Disposed				
					of (D)				
					(Instr. 3,				
					4, and 5)				
					(A) (D)	ъ.	E	TT: 1 A	
					(A) (D)	Date	*	Title Amour	ıt
						Exercisable	Date	or	
								Numbe	r
								of	
								Shares	

Reporting Owners

Reporting Owner Name / Address	Relationships					
toporting of their stanton stanton	Director	10% Owner	Officer	Other		
BRADLEY MARK R C/O FIRST TRUST ADVISORS LP 120 EAST LIBERTY DRIVE, SUITE 400 WHEATON, IL 60187	Â	Â	Â President	Â		

Signatures

/s/ Mark R. Bradley, by W. Scott Jardine, attorney-in-fact, pursuant to a Power of Attorney

01/08/2016

of D

 $\mathbf{F}_{\mathbf{i}}$

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Mark R. Bradley has retired effective 12/31/2015.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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