### Edgar Filing: Brown George Garvin IV - Form 4

Form 4	ge Garvin IV										
July 30, 201	ЛЛ	татес	SECUD	ITIES AT		LI A NI	CEC	COMMISSION	т	PPROVAL	
	UNITEDS	IAILS		hington,			GEU	.01v11v1155101	OMB Number:	3235-0287	
Check th if no lon subject to Section 2 Form 4 of Form 5	ger o <b>STATEM</b> 16. or		F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Section 16(a) of the Securities Exchange Act of 1934,						Expires: Estimated burden hou response	urs per	
obligatio may con <i>See</i> Instr 1(b).	tinue. Section 17(a	) of the P	ublic Ut		ing Com	pany A	Act of	1935 or Sectio	on		
(Print or Type	Responses)										
	Address of Reporting P rge Garvin IV		Symbol	Name and				5. Relationship o Issuer	f Reporting Per	rson(s) to	
			BROWN FORMAN CORP [BFA/BFB]					(Check all applicable)			
(Last) (First) (Middle) 850 DIXIE HWY			3. Date of Earliest Transaction (Month/Day/Year) 07/26/2012					_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) Executive Vice President			
	(Street) LE, KY 40210		4. If Amer	ndment, Dat th/Day/Year)	e Original			6. Individual or J Applicable Line) _X_ Form filed by	oint/Group Fili	ng(Check erson	
(City)	(State) (A	Zip)	Table	e I - Non-Do	erivative S	ecuritie	es Acq	uired, Disposed o	f, or Beneficia	llv Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any	ned	3. Transactio Code (Instr. 8)	4. Securit nAcquired Disposed (Instr. 3, 4	ies (A) or of (D) 4 and 5) (A) or	)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	•	
Class A Common				Code V	Amount	(D) I	Price		D		
Class A Common								402,155	I	GGB4 2012 LLC	
Class A Common								2,642,357	I	CBGB LLC	
Class A Common								34,767	I	Trust u/a FBO Geo. Garvin Brown IV	
								353,036	I		

Class A Common				GGB4 2010#1 LLC
Class A Common	3,4	419	I	Crumney Trust
Class A Common	1,2	271	Ι	By Spouse
Class A Common	14	ļ	I	By G. Garvin Brown III estate
Class B Common	14	8,189	D	
Class B Common	19	,229	Ι	GGB4 2012 LLC
Class B Common	36	0,589	I	CBGB, LLC
Class B Common	10	0,792	Ι	Trust u/a FBO Geo. Garvin Brown IV
Class B Common	52	2,200	I	GGB4 2010 #2 LLC
Class B Common	1,5	513	I	Crumney Trust
Class B Common	7,0	026.44 <u>(1)</u>	I	401(k) Plan
Class B Common	1,8	831	Ι	By G. Garvin Brown III estate
Reminder: Report on a separate line for each class of securities benefi	cially owned directly or indi Persons who respond information contained required to respond u displays a currently v number.	d to the colle d in this form unless the for	i are not rm	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number	6. Date Exercisable and	7. Title and Amour
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onof Derivative	Expiration Date	Underlying Securit
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)				
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (right to buy)	\$ 30.18					05/01/2006	04/30/2013	Class B Common	1,1
Non-Qualified Stock Option (right to buy)	\$ 35.83					05/01/2007	04/30/2014	Class B Common	1,9
Stock Appreciation Right	\$ 45.53					05/01/2008	04/30/2015	Class B Common	67
Stock Appreciation Right	\$ 55.69					05/01/2009	04/30/2016	Class B Common	92
Stock Appreciation Right	\$ 53.8					05/01/2010	04/30/2017	Class B Common	1,0
Stock Appreciation Right	\$ 56.58					05/01/2011	07/24/2018	Class B Common	96
Stock Appreciation Right	\$ 43.1					05/01/2012	04/30/2019	Class B Common	2,9
Restricted Stock Unit	(2)					(3)	(3)	Class B Common	54
Restricted Stock Unit	<u>(2)</u>					(4)	(4)	Class B Common	2,0
Deferred Stock Unit	<u>(5)</u>	07/26/2012		А	1,960	<u>(6)</u>	(6)	Class B Common	1,9

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# **Reporting Owners**

Reporting Owner Name / Address			Relationships				
	Director	10% Owner	Officer	Other			
Brown George Garvin IV 850 DIXIE HWY	Х		Executive Vice President				

#### LOUISVILLE, KY 40210

## **Signatures**

Diane M. Barhorst, Atty. in Fact for George Garvin Brown IV

\*\*Signature of Reporting Person

07/30/2012

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Number of shares the reporting person has acquired under the Brown-Forman 401(k) plan as of July 26, 2012.
- (2) Each restricted stock unit represents a contingent right to receive one share of Brown-Forman Class B common stock.
- (3) The restricted stock units vest April 30, 2014.
- (4) The restricted stock units vest April 30, 2015.

Under the Brown-Forman Corporation Non-Employee Director Deferred Stock Unit Program each DSU represents the right to receive
 (5) one share of the Company's Class B common stock. This grant made on July 26, 2012, was based on the closing price of the Company's Class B common stock on that date (\$93.54). On each dividend payment date, participants are credited with DSU equivalents.

(6) Annual grants of DSUs vest over the course of the Board year. DSUs are paid out in class B common stock on the first February 1 that is at least six months following the Director's termination from Board service.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.