

BROWN FORMAN CORP  
Form 4  
March 31, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Barzun Brooke Brown

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer  
(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
09/08/2015

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

LOUISVILLE, KY 40210  
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned or Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |         |   |                                     |
|---------------------------------|--------------------------------------|--|--------------------------------|---|--|--|---|---------|---|-------------------------------------|
|                                 |                                      |  | Code                           | V   | Amount   | (D)  | Price   |         |   |                                     |
| Class A Common                  | 12/10/2015                           |  | G                              |   | 792  | D  | \$ 0  | 236,963 | D |                                     |
| Class A Common                  | 12/08/2015                           |  | G                              |   | 125  | A  | \$ 0  | 237,088 | D |                                     |
| Class A Common                  | 12/08/2015                           |  | G                              |   | 125  | A  | \$ 0  | 3,646   | I | By Spouse                           |
| Class A Common                  | 12/09/2015                           |  | G                              |   | 13,626   | A  | \$ 0  | 30,472  | I | OB3 GST Exempt Trust <sup>(1)</sup> |
| Class A Common                  | 12/09/2015                           |  | G                              |   | 5,358  | A  | \$ 0  | 5,358   | I | OB3 GST Non-Exempt Trust            |

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|                   |            |   |        |   |      |         |   |                                  |
|-------------------|------------|---|--------|---|------|---------|---|----------------------------------|
| Class A<br>Common | 12/08/2015 | G | 125    | A | \$ 0 | 4,740   | I | Trust fbo<br>Child-1             |
| Class A<br>Common | 12/08/2015 | G | 125    | A | \$ 0 | 8,554   | I | Trust fbo<br>Child-2             |
| Class A<br>Common | 12/08/2015 | G | 125    | A | \$ 0 | 8,554   | I | Trust fbo<br>Child-3             |
| Class A<br>Common | 09/08/2015 | G | 67,714 | D | \$ 0 | 0       | I | Trust fbo<br>Owsley Brown<br>III |
| Class B<br>Common |            |   |        |   |      | 27,381  | D |                                  |
| Class A<br>Common |            |   |        |   |      | 126,948 | I | BBB 2002<br>GRAT                 |
| Class B<br>Common |            |   |        |   |      | 22,897  | I | BBB 2002<br>GRAT                 |
| Class A<br>Common |            |   |        |   |      | 9,813   | I | BBB 2010<br>GRAT                 |
| Class A<br>Common |            |   |        |   |      | 41,748  | I | BBB 2011<br>GRAT                 |
| Class B<br>Common |            |   |        |   |      | 4,225   | I | BBB 2011<br>GRAT                 |
| Class A<br>Common |            |   |        |   |      | 205,527 | I | BBB Revocable<br>Trust           |
| Class B<br>Common |            |   |        |   |      | 17,250  | I | BBB Revocable<br>Trust           |
| Class B<br>Common |            |   |        |   |      | 946     | I | By Spouse                        |
| Class B<br>Common |            |   |        |   |      | 45,671  | I | CLB 2012 Trust                   |
| Class A<br>Common |            |   |        |   |      | 2,290   | I | CLB 2012 Trust                   |
| Class A<br>Common |            |   |        |   |      | 196,272 | I | CLB<br>Grandchildren<br>Eq. Tr.  |
| Class B<br>Common |            |   |        |   |      | 122,685 | I | CLB<br>Grandchildren<br>Eq. Tr.  |
| Class A<br>Common |            |   |        |   |      | 18      | I | Eleanor Lee<br>Trusts            |
| Class B<br>Common |            |   |        |   |      | 12,895  | I | Eleanor Lee<br>Trusts            |

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|                   |         |   |                                      |
|-------------------|---------|---|--------------------------------------|
| Class B<br>Common | 51,440  | I | GST Exempt<br>QTIP Trust             |
| Class B<br>Common | 201,736 | I | GST<br>Non-Exempt<br>QTIP Trust      |
| Class A<br>Common | 740,610 | I | Guilford-Brown<br>LP                 |
| Class A<br>Common | 150,000 | I | Hackberry 2010<br>LLC                |
| Class B<br>Common | 546     | I | Hebe Exempt<br>Trust                 |
| Class A<br>Common | 316,837 | I | Hebe Three<br>Limited<br>Partnership |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares  |

## Reporting Owners

| Reporting Owner Name / Address                                   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Barzun Brooke Brown<br>850 DIXIE HIGHWAY<br>LOUISVILLE, KY 40210 |               | X         |         |       |

## Signatures

Kelly A. Bowen, Atty in Fact for Brooke Brown  
Barzun

03/31/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Formerly known as Trust fbo OB3.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
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