

Brown Campbell P
Form 4
June 13, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Brown Campbell P

2. Issuer Name **and** Ticker or Trading
Symbol
BROWN FORMAN CORP [BFA,
BFB]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

850 DIXIE HIGHWAY

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
05/30/2018

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

LOUISVILLE, KY 40210

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Class A Common	05/30/2018		G	V 1,366,618 D \$ 0	242,858	D	
Class A Common	05/30/2018		G	V 242,858 D \$ 0	0	D	
Class A Common	05/30/2018		G	V 1,366,618 A \$ 0	1,366,618	I	CPBee Family 2018 LLC
Class A Common	05/30/2018		G	V 242,858 A \$ 0	242,858	I	CPBee Endowment 2018 LLC
	05/30/2018		G ⁽¹⁾	V 240,429 D \$ 0	242,858	I	

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Class A Common									CPBee Endowment 2018 LLC
Class A Common	05/30/2018	G ⁽¹⁾	V	240,429	A	\$ 0	242,858	I	CPBee Endowment 2018 LLC
Class A Common	05/30/2018	G ⁽²⁾	V	953,901	D	\$ 0	963,536	I	Brown Barker 2013 LLC
Class A Common	05/30/2018	G ⁽²⁾	V	953,901	A	\$ 0	963,536	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	G	V	293,986	D	\$ 0	720,783 ⁽³⁾	D	
Class B Common	05/30/2018	G	V	293,986	A	\$ 0	293,986	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	G	V	5,340	D	\$ 0	30,285	I	Trust FBO Campbell P. Brown
Class B Common	05/30/2018	G	V	5,340	A	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	G ⁽¹⁾	V	296,333	D	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	G ⁽¹⁾	V	296,333	A	\$ 0	299,326	I	CPBee Endowment 2018 LLC
Class B Common	05/30/2018	G ⁽²⁾	V	907,817	D	\$ 0	916,987	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	G ⁽²⁾	V	907,817	A	\$ 0	916,987	I	Brown Barker 2013 LLC
Class B Common	05/30/2018	G ⁽⁴⁾	V	87,284	D	\$ 0	88,166 ⁽⁵⁾	I	CPBee Charitable 2018 LLC
Class B Common							88,166 ⁽⁵⁾	I	CPBee Sale 2018 LLC
Class A Common							1,059,108	I	CPB 2010 #1 LLC
							142,500	I	

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Class A Common				Trust FBO Campbell P. Brown
Class A Common	142,500	I		Trust FBO Geo Garvin Brown IV (Irrev)
Class B Common	21,239.9324	I		By 401k
Class B Common	45	I		Child #1
Class B Common	45	I		Child #2
Class B Common	264,777	I		CPB 2010 #1 LLC
Class B Common	60,543	I		CPB 2010 #2 LLC
Class B Common	12,241.2376	I		ESPP
Class B Common	35,625	I		Trust FBO Geo Garvin Brown IV (Irrev)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr		
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Brown Campbell P 850 DIXIE HIGHWAY LOUISVILLE, KY 40210	X			

Michael E. Carr, Jr., Attorney in Fact for Campbell P. Brown 06/13/2018

Date _____

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents a gift of LLC interests to a family trust.

(2) Represents a gift of LLC interests to CPBee Family 2018 LLC.

(3) Excludes 88,166 shares that were transferred to CPBee Sale 2018 LLC and 88,166 shares that were transferred to CPBee Charitable 2018 LLC on May 30, 2018.

(4) Represents a gift of LLC interests to a charitable foundation.

(5) Shares were previously owned directly until transfer to the LLC on May 30, 2018.

The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth on this form except to the extent

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