

Edgar Filing: National Bank Holdings Corp - Form 4

National Bank Holdings Corp
Form 4
November 13, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Metzger Thomas M

(Last) (First) (Middle)

7800 E. ORCHARD ROAD, SUITE
300

(Street)

GREENWOOD
VILLAGE, CO 80111

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
National Bank Holdings Corp
[NBHC]

3. Date of Earliest Transaction
(Month/Day/Year)
11/10/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title ____ Other (specify
below) below)
Chief Ent. Tech. & Integration

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/10/2015		M	402,500	A \$ 20 637,324	D	
Common Stock	11/10/2015		F	375,311	D \$ 23.05 262,013	D	
Common Stock	11/10/2015		M	50,000	A \$ 20 312,013	D	
Common Stock	11/10/2015		F	46,622	D \$ 23.05 265,391	D	
	11/10/2015		M	1,333	A 266,724	D	

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Common Stock					\$ 18.92		
Common Stock	11/10/2015	F	1,211	D	\$ 23.05	265,513	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Amount Number Shares
Employee Stock Option (Right to Buy)	\$ 20	11/10/2015		M	402,500	<u>(1)</u> 10/20/2019	Common Stock 402,500
Employee Stock Option (Right to Buy)	\$ 20	11/10/2015		M	50,000	<u>(2)</u> 10/11/2018	Common Stock 50,000
Employee Stock Option (Right to Buy)	\$ 18.92	11/10/2015		M	1,333	<u>(3)</u> 04/29/2024	Common Stock 1,333

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Metzger Thomas M 7800 E. ORCHARD ROAD, SUITE 300 GREENWOOD VILLAGE, CO 80111	Chief Ent. Tech. & Integration

Signatures

/s/ Thomas M.
Metzger

11/13/2015

__Signature of Reporting
Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Time-vested stock option award. Stock options vested in equal installments on October 20, 2011 and October 20, 2012.
- (2) Time-vested stock option award. Stock options vested in equal installments on October 11, 2012, October 11, 2013 and October 11, 2014.
- (3) Time-vested stock option award. First tranche of 1,333 stock options vested on April 29, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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