

Edgar Filing: Rogers Roy L - Form SC 13G/A

Rogers Roy L
Form SC 13G/A
February 26, 2010

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, DC 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934

Socket Mobile, Inc.

(Name of Issuer)

Common Stock
(Title of Class of Securities)

83368E20
(CUSIP Number)

December 31, 2009
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which
this Schedule is filed:

- Rule 13d-1(b)
 Rule 13d-1(c)
 Rule 13d-1(d)

1. NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)

Rogers Family Trust UTD 01-21-81

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE
INSTRUCTIONS)

- (a)
(b)
Not applicable

3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

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California

5. SOLE VOTING POWER
271,887 (including warrants)

NUMBER OF

SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH
6. SHARED VOTING POWER
0

7. SOLE DISPOSITIVE POWER
271,887 (including warrants)

8. SHARED DISPOSITIVE POWER
0

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON
271,887 (including warrants)

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN SHARES
(SEE INSTRUCTIONS)

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)
7.2%

12. TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)
OO (revocable family trust)

1. NAME OF REPORTING PERSONS
I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY)
Roy and Ruth Rogers Unitrust, UTD 09-28-89

2. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

(a)

(b)
Not applicable

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3. SEC USE ONLY

4. CITIZENSHIP OR PLACE OF ORGANIZATION

California

5. SOLE VOTING POWER

88,401

NUMBER OF

SHARES
BENEFICIALLY
OWNED BY
EACH REPORTING

PERSON WITH

6. SHARED VOTING POWER

0

7. SOLE DISPOSITIVE POWER

88,401

8. SHARED DISPOSITIVE POWER

0

9. AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

88,401

10. CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (9) EXCLUDES CERTAIN
SHARES

(SEE INSTRUCTIONS)

11. PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (9)

2.3%

12. TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

OO (charitable remainder unit trust)

Item 1.

(a) NAME OF ISSUER

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Socket Mobile, Inc.

(b) ADDRESS OF ISSUER'S PRINCIPAL EXECUTIVE OFFICES

39700 Eureka Dr., Newark, CA 94560

Item 2.

(a) NAME OF PERSON FILING *

- (1) Rogers Family Trust, UTD 01-21-81
- (2) Roy and Ruth Rogers Unitrust, UTD 09-28-89

*Attached to this Schedule 13G as Exhibit 1 is a Joint Filing Agreement between the persons specified above. This Schedule 13G is being filed on behalf of each of them.

(b) ADDRESS OF PRINCIPAL BUSINESS OFFICE OR, IF NONE, RESIDENCE

3000 Sand Hill Road, Bldg 1, Suite 260, Menlo Park, CA 94025

**

** This address applies to all persons specified above in item 2(a).

(c) CITIZENSHIP

- (1) California
 - (2) California
-

(d) TITLE OF CLASS OF SECURITIES

Common Stock

(e) CUSIP NUMBER

83368E20

Item 3.

If this statement is filed pursuant to SS.240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable

Item 4. OWNERSHIP

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Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) AMOUNT BENEFICIALLY OWNED:

As of December 31, 2009, 271,887 (including warrants) shares may be deemed beneficially owned within the meaning of Rule 13d-3 under the Securities Exchange Act of 1934 by Ruth and Roy Rogers, as trustees of the Rogers Family Trust, a revocable trust, of which Roy Rogers and his spouse, Ruth Rogers, are co-trustees.

In addition, 88,401 shares may be deemed beneficially owned by Roy Rogers and Ruth Rogers, as co-trustees of the Roy and Ruth Rogers Unitrust, an irrevocable charitable trust. Roy Rogers and Ruth Rogers otherwise disclaim beneficial ownership of these shares.

(b) PERCENT OF CLASS:

For more information regarding percent of class with respect to the above listed shares, refer to item 11 of the cover pages.

(c) NUMBER OF SHARES AS TO WHICH THE PERSON HAS:

For more information on voting and dispositive power with respect to the above listed shares, refer to item 5-8 of the cover pages.

Item 5. OWNERSHIP OF FIVE PERCENT OR LESS OF A CLASS

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [].

Item 6. OWNERSHIP OF MORE THAN FIVE PERCENT ON BEHALF OF ANOTHER PERSON

Not applicable

Item 7. IDENTIFICATION AND CLASSIFICATION OF THE SUBSIDIARY WHICH ACQUIRED THE SECURITY BEING REPORTED ON BY THE PARENT HOLDING COMPANY

Not applicable

Item 8. IDENTIFICATION AND CLASSIFICATION OF MEMBERS OF THE GROUP

Not applicable

Item 9. NOTICE OF DISSOLUTION OF GROUP

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Not applicable

Item 10. CERTIFICATION

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 16, 2010

Rogers Family Trust

By: _____

Name: Roy L. Rogers

Title: Trustee

Roy & Ruth Rogers Unitrust

By: _____

Name: Roy L. Rogers

Title: Trustee

EXHIBIT 1

JOINT FILING AGREEMENT

This will confirm the agreement by and among the undersigned that the Schedule 13G filed with the Securities and Exchange Commission on or about the date hereof with respect to the beneficial ownership by the undersigned of shares of Common Stock of Socket Mobile, Inc. is being filed, and all amendments thereto will be filed, on behalf of each of the persons and entities named below in accordance with Rule 13d-1(d)

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under the Securities Exchange Act of 1934, as amended.

Dated: February 16, 2010

Rogers Family Trust

By: _____

Name: Roy L. Rogers

Title: Trustee

Roy & Ruth Rogers Unitrust

By: _____

Name: Roy L. Rogers

Title: Trustee

CUSIP No. 83368E20
Page 8 of 8

Schedule 13G