MATTEL INC /DE/ Form 4 May 13, 2013

#### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

**OMB APPROVAL** 

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* DOLAN MICHAEL J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Last)

(Middle)

3. Date of Earliest Transaction

MATTEL INC /DE/ [MAT]

(Month/Day/Year) 05/10/2013

(Check all applicable)

X\_ Director Officer (give title below)

10% Owner Other (specify

MATTEL, INC., 333 CONTINENTAL BLVD.

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

3.

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

EL SEGUNDO, CA 90245

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

4. Securities

(A)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Code V Amount

or (D) Price

Common Stock

05/12/2013

M 4,320

(1) 43,525 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	<u>(2)</u>	05/10/2013		A	2,610		(3)	(3)	Common Stock	2,610
Restricted Stock Units	<u>(1)</u>	05/12/2013		M		4,320	<u>(1)</u>	<u>(1)</u>	Common Stock	4,320

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
DOLAN MICHAEL J							
MATTEL, INC.	X						
333 CONTINENTAL BLVD.	Λ						
EL SEGUNDO, CA 90245							

#### **Signatures**

/s/ Andrew Paalborg, Attorney-in-Fact for Michael J.
Dolan
05/13/2013

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- As reported on a Form 4 dated May 11, 2010 and filed on May 13, 2010, the reporting person received a grant of 4,320 Restricted Stock

  Units ("RSUs") on May 12, 2010. The RSUs were fully vested on the one year anniversiary of the grant date and are settled on the third anniversary of the grant date. On May 12, 2013, 100% of these RSUs were settled, resulting in the issuance of 4,320 shares of Mattel common stock.
- The RSUs were granted on May 10, 2013, pursuant to the Mattel, Inc. 2010 Equity and Long-Term Compensation Plan. Each RSU

  (2) represents a contingent right to receive one share of Common Stock (or, at the election of Mattel, Inc., a cash amount equal to the fair market value of such share on the settlement date). The RSUs are accompanied by dividend equivalent rights.
  - The RSUs will vest in four equal installments, as follows: (i) 25% on August 10, 2013, (ii) 25% on November 10, 2013, (iii) 25% on February 10, 2014 and (iv) 25% on the earlier of May 10, 2014 or the day before the first annual meeting of Mattel's stockholders that
- (3) occurs after the grant date. Vested RSUs generally will be settled on the third anniversary of the grant date. On the settlement date, for each vested RSU, the reporting person will receive one share of Common Stock (or, at the election of Mattel, Inc., a cash amount equal to the fair market value of one share of Common Stock on the settlement date).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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