#### Edgar Filing: BAYAZITOGLU KRISTEN LINDSAY - Form 3

#### BAYAZITOGLU KRISTEN LINDSAY

Form 3 July 31, 2018

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

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January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 BAYAZITOGLU KRISTEN LINDSAY

(First)

(Middle)

(Month/Day/Year) 07/31/2018

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Salient Midstream & MLP Fund [SMM]

(Last)

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

4265 SAN FELIPE STREET. 8TH FLOOR

(Street)

Director

\_X\_\_ Officer

(Check all applicable)

Secretary

10% Owner Other (give title below) (specify below)

Filing(Check Applicable Line) Form filed by One Reporting

Person

\_X\_ Form filed by More than One Reporting Person

6. Individual or Joint/Group

HOUSTON, TXÂ 77027

(City) (State) (Zip)

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial

Ownership Form: (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

4

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

5. Conversion Ownership or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of

Derivative Price of Derivative Security: Security Direct (D)

Shares

or Indirect
(I)
(Instr. 5)

### **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |                |       |
|---|---------------|-----------|----------------|-------|
|   | Director      | 10% Owner | Officer        | Other |
| BAYAZITOGLU KRISTEN LINDSAY<br>4265 SAN FELIPE STREET<br>8TH FLOOR<br>HOUSTON, TX 77027 | Â             | Â         | Secretary      | Â     |
| HIBBETTS MATTHEW SCOTT<br>4265 SAN FELIPE STREET<br>8TH FLOOR<br>HOUSTON, TX 77027      | Â             | Â         | Vice President | Â     |

# **Signatures**

Kristen
Bayazitoglu
07/31/2018

\*\*Signature of Date

Reporting Person

Matthew Hibbetts 07/31/2018

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

No securities are beneficially owned

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2