Edgar Filing: Alspaugh Robert W - Form 4

| Alspaugh R | lobert W | | | | | | | | | |
|--|-------------------------|---------------------|---------------------------------------|--------------------|------------------------|-----------------------|---|-------------------------------------|-----------------|--|
| Form 4 | | | | | | | | | | |
| April 26, 20 | | | | | | | | 0145.4 | | |
| FORM | 14 | CT A TEC | SECU | DITIEC | | CHANCE | | NT | PPROVAL | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | Number: | 3235-0287 | |
| Check t if no los | agor | | | | | | | Expires: | January 31, | |
| subject | | MENT OI | F CHAI | | | ICIAL O | WNERSHIP OF | Estimated | 2005 average | |
| Section | | SECURITIES | | | | | | | | |
| Form 4 Form 5 | | | · · · · · · · · · · · · · · · · · · · | 16(-) - 64 | | 4 | | response | . 0.5 | |
| obligati | | | | | | | inge Act of 1934, t of 1935 or Secti | on | | |
| may con | nunue. | | | • | • | ny Act of 1 | | 011 | | |
| <i>See</i> Inst 1(b). | ruction | 50(II) | or the r | nvestmen | t Compa | ily net of 1 | | | | |
| -(-)- | | | | | | | | | | |
| (Print or Type | Responses) | | | | | | | | | |
| 1. Name and | Address of Reporting | Person [*] | 2. Issu | er Name an | d Ticker of | Trading | 5. Relationship | of Reporting Per | rson(s) to | |
| Alspaugh Robert W | | | Symbol | | | | Issuer | | | |
| | | | BALL | Corp [BL | L] | | (Check all applicable) | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction | | | (Check un applicable) | | | | |
| | | | | Day/Year) | | | Director | | % Owner | |
| BALL CO PEAK DR | RPORATION, 10 | LONGS | 04/25/2 | 2018 | | | Officer (giv below) | below) | ner (specify | |
| | (Street) | | 4. If Amendment, Date Original | | | | 6. Individual or Joint/Group Filing(Check | | | |
| | | | Filed(Me | onth/Day/Yea | ur) | | Applicable Line) | | | |
| | | 0510 | | | | | _X_ Form filed by Form filed by | One Reporting Po More than One R | | |
| BROOMF | IELD, CO 80021- | 2510 | | | | | Person | | | |
| (City) | (State) | (Zip) | Tal | ole I - Non- | Derivative | Securities A | Acquired, Disposed | of, or Beneficia | lly Owned | |
| 1.Title of | 2. Transaction Date | | | 3. | 4. Securit | | 5. Amount of | 6. Ownership | 7. Nature of | |
| Security | (Month/Day/Year) | Execution | Date, if | | onAcquired Disposed | | Securities | Form: Direct | Indirect | |
| (Instr. 3) | | any (Month/Da | ay/Year) | Code (Instr. 8) | (Instr. 3, | | Beneficially Owned | (D) or Indirect (I) | Ownership | |
| | | , | | . , | × , | , | Following | (Instr. 4) | (Instr. 4) | |
| | | | | | | (A) | Reported Transaction(s) | | | |
| | | | | | | or | (Instr. 3 and 4) | | | |
| | | | | Code v | Amount | (D) Price | | | | |
| Reminder: Re | port on a separate line | e for each cl | ass of sec | urities bene | ficially ow | ned directly | or indirectly. | | | |
| | | | | | | | spond to the colle | | SEC 1474 | |
| | | | | | | | tained in this form ond unless the fo | | (9-02) | |
| | | | | | displa | ays a curre | ntly valid OMB co | | | |
| | | | | | numb | er. | | | | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. Number | 6. Date Exercisable and | 7. Title and Amount of | 8. Pr |
|-------------|-------------|---------------------|--------------------|------------|-----------------|-------------------------|------------------------|-------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | onof Derivative | Expiration Date | Underlying Securities | Deriv |
| Security | or Exercise | | any | Code | Securities | (Month/Day/Year) | (Instr. 3 and 4) | Secu |

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| (Instr. 3) | nstr. 3) Price of Derivative Security | | (Month/Day/Year) (In | | | | d d of , 4, | | | | (In | |
|------------------------------|---|------------|----------------------|--------------|---|-------|-------------------|---------------------|--------------------|-----------------|--|------|
| | | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Restricted Stock Units | <u>(1)</u> | 04/25/2018 | | A <u>(2)</u> | | 3,606 | | (2) | (2) | Common Stock | 3,606 | \$ 4 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------|---------------------------|---|------------|--|--|--|--|
| | Director | Director 10% Owner Office | | Other | | | | |
| Alspaugh Robert W BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510 | | | | | | | | |
| Signatures | | | | | | | | |
| /s/ Janice L. Rodriguez, attorney-i Alspaugh | n-fact for | Mr. | (| 04/26/2018 | | | | |
| <u>**</u> Signature of Reporting Pe | erson | | | Date | | | | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Ball Corporation common stock.
- (2) Annual Restricted Stock Unit Award (RSU) granted to non-employee Directors under Ball Corporation's Stock and Cash Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.