### MCGINNIS JOHN P

Form 4

December 06, 2018

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB** 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MCGINNIS JOHN P Issuer Symbol NATIONAL FUEL GAS CO [NFG] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Officer (give title Other (specify 1201 LOUISIANA STREET, SUITE 12/04/2018 below) 2600 Pres - Seneca Resources (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting HOUSTON, TX 77002 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) (Instr. 4) Following Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Dric

		Couc v	Amount	(D)	1 1100			
Common Stock	12/04/2018	A	7,617	A	\$0	30,669	D	
Common Stock	12/04/2018	F	1,855 (1)	D	\$ 54.535	28,814	D	
Common Stock						8,311 (2)	I	401k Trust
Common Stock						29,792	I	By limited liability company (3)

1.789

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Common Stock

reporting person and spouse as trustees for child

By

Common Stock

I 1,789

By reporting person and spouse as trustees for child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 4. 5. Derivative Conversion (Month/Day/Year) Execution Date, if Security or Exercise Code of Price of (Instr. 3) (Month/Day/Year) Derivative Security

6. Date Exercisable and TransactionNumber **Expiration Date** (Month/Day/Year) (Instr. 8) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)

7. Title and 8. Price of Amount of Underlying Securities (Instr. 3 and 4)

9. Nu Derivative Deriv Security Secu (Instr. 5) Bene Own Follo

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Amount Expiration Title Number Date Exercisable Date of Code V (A) (D) Shares

**Reporting Owners** 

**Relationships** Reporting Owner Name / Address

> 10% Owner Officer Other Director

MCGINNIS JOHN P 1201 LOUISIANA STREET **SUITE 2600** HOUSTON, TX 77002

Pres - Seneca Resources

Reporting Owners 2

## **Signatures**

J. P. Baetzhold, Attorney in Fact

12/06/2018

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On December 4, 2018, the reporting person had 1,855 shares withheld and cancelled to cover minimum required tax withholdings due to (1) the vesting of performance shares. These share cancellations are shown on Table I as dispositions (Transaction Code "D" in Column 4), although none of these cancelled shares were sold into the market, as indicated by Transaction Code "F" in Column 3.
  - The NFG stock fund under the NFG 401(k) plan is denominated in units, representing ownership interests in a fund that includes both
- (2) NFG common stock and a reserve of cash. The information reported represents the dollar value of the reporting person's balance in the NFG stock fund as of December 4, 2018, as reported by the plan administrator, divided by the closing price of NFG common stock on that date.
- (3) The reporting person and his spouse are the sole members of the limited liability company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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