Edgar Filing: TAYLOR STUART A II - Form 4

TAYLOR STUART A II

Form 4

December 19, 2018

Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

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January 31, 2005

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subject to

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * TAYLOR STUART A II

2. Issuer Name and Ticker or Trading

Symbol

BALL Corp [BLL]

(First) (Middle) (Last)

3. Date of Earliest Transaction

(Month/Day/Year)

BALL CORPORATION, 10 LONGS

12/17/2018

Director 10% Owner Officer (give title Other (specify

(Check all applicable)

5. Relationship of Reporting Person(s) to

below)

PEAK DR.

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

BROOMFIELD, CO 80021-2510

(City) (State) (Zip)

(Month/Day/Year)

2. Transaction Date 2A. Deemed

4. Securities Acquired 3. Execution Date, if Transaction(A) or Disposed of (D)

(Month/Day/Year)

Code (Instr. 3, 4 and 5) (Instr. 8)

(A) or (D) Price Amount

5. Amount of 6. Securities Ownership Beneficially Form: Owned Following Direct (D) Reported or Indirect

7. Nature of Indirect Beneficial Ownership (Instr. 4)

Transaction(s) (I) (Instr. 4) (Instr. 3 and 4)

D

Common Stock

1.Title of

Security

(Instr. 3)

12/17/2018

J(1)7.6915 A

170,951.7627

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of		6. Date Exercisable and		7. Title and Amount	
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionDerivative		Expiration Date		Underlying Securiti		
Security	or Exercise		any	Code Securities		(Month/Day/Year)		(Instr. 3 and 4)		
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Acquired (A)	or				
	Derivative				Disposed of (D)				
	Security				(Instr. 3, 4, an	ıd				
					5)					
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Deferred										
Compensation Company	<u>(2)</u>	12/17/2018		J <u>(3)</u>	224.0084		<u>(4)</u>	<u>(4)</u>	Common Stock	224.

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

TAYLOR STUART A II BALL CORPORATION 10 LONGS PEAK DR. BROOMFIELD, CO 80021-2510

Signatures

Stock Plan

/s/ Charles E. Baker, attorney-in-fact for Mr. Taylor

12/19/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Dividend reinvestment in Ball Corporation 2000 Deferred Compensation Company Stock Plan.
- (2) Each unit may be settled for a single share of stock or the equivalent amount of cash pursuant to the Ball Corporation Deferred Compensation Company Stock Plan.
- (3) Dividend reinvestment in Ball Corporation Deferred Compensation Company Stock Plan.
- (4) Stock units in Ball Corporation's Deferred Compensation Company Stock Plans are distributed upon the separation of service in accordance with the Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2