Burdick Kenneth A Form 4 March 05, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

may continue.

(Print or Type Responses)

1. Name and Address of Reporting Person \* Burdick Kenneth A

2. Issuer Name and Ticker or Trading Symbol

Issuer

WELLCARE HEALTH PLANS,

(Check all applicable)

5. Relationship of Reporting Person(s) to

INC. [WCG]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

\_X\_\_ Director 10% Owner Other (specify X\_ Officer (give title

below) 03/01/2019

Chief Executive Officer

C/O WELLCARE HEALTH PLANS, INC., 8735 HENDERSON ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**TAMPA**, FL 33634

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative S	Securi	ities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed h/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A) Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)  (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2019		Code V $M_{\underline{(1)}}^{(1)}$	Amount 4,990	(D)	Price \$ 0	154,188	D	
Common Stock	03/01/2019		M(2)	4,240	A	\$0	158,428	D	
Common Stock	03/01/2019		M(3)	3,677	A	\$0	162,105	D	
Common Stock	03/01/2019		F	33,779 (4)	D	\$ 260.05	128,326	D	

### Edgar Filing: Burdick Kenneth A - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(5)</u>	03/01/2019		M	4,990	<u>(6)</u>	<u>(6)</u>	Common Stock	4,990	\$
Restricted Stock Units	<u>(5)</u>	03/01/2019		M	4,240	<u>(7)</u>	<u>(7)</u>	Common Stock	4,240	\$
Restricted Stock Units	<u>(5)</u>	03/01/2019		M	3,677	(8)	<u>(8)</u>	Common Stock	3,677	\$

# **Reporting Owners**

Reporting Owner Name / Address	Kelationships						
• 0	Director	10% Owner	Officer	Other			
Burdick Kenneth A							
C/O WELLCARE HEALTH PLANS, INC.	X		Chief Executive Officer				

**TAMPA**, FL 33634

8735 HENDERSON ROAD

## **Signatures**

/s/ Kendra Archer, Attorney-in-fact 03/05/2019

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting of restricted stock units granted to the Reporting Person on March 28, 2016.
- (2) Vesting of restricted stock units granted to the Reporting Person on March 22, 2017.
- (3) Vesting of restricted stock units granted to the Reporting Person on March 19, 2018.
- (4) Forfeiture of shares to satisfy tax obligations related to stock units that were granted to the Reporting Person on March 28, 2016, March 22, 2017, and March 19, 2018, and vested on March 1, 2019.
- (5) Each restricted stock unit represents a contingent right to receive one share of WCG common stock.
- (6) The award of restricted stock units vests in approximately equal increments on March 1, 2017, March 1, 2018 and March 1, 2019. Vested shares will be delivered to the Reporting Person upon vest.
- The award of restricted stock units vests in approximately equal increments on March 1, 2018, March 1, 2019 and March 1, 2020. Vested shares will be delivered to the Reporting Person upon vest.
- (8) The award of restricted stock units vests in approximately equal increments on March 1, 2019, March 1, 2020 and March 1, 2021. Vested shares will be delivered to the Reporting Person upon vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.