#### HASSEY L PATRICK

Form 4 June 15, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer subject to

Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

response...

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* HASSEY L PATRICK

2. Issuer Name and Ticker or Trading Symbol

Issuer

KAISER ALUMINUM CORP

Filed(Month/Day/Year)

Code

A

(Instr. 8)

(Check all applicable)

5. Relationship of Reporting Person(s) to

[KALU]

(Last)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title

10% Owner Other (specify

(Month/Day/Year) 06/14/2018

(Month/Day/Year)

C/O KAISER ALUMINUM CORP., 27422 PORTOLA

PARKWAY, STE. 200

(Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

FOOTHILL RANCH, CA 92610

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed

3. 4. Securities Acquired (Month/Day/Year) Execution Date, if

Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned

Following

7. Nature of Indirect Ownership Form: Direct Beneficial (D) or Ownership

(Instr. 4)

Indirect (I) (Instr. 4)

Reported

(A) Code V Amount (D) Price

\$

Transaction(s) (Instr. 3 and 4)

Common

Security

(Instr. 3)

Stock, par value

06/14/2018

1,001 \$0 Α (1)

7,328

D

\$0.01 per share

Common Stock, par

06/14/2018

250 (2) A A

109.88 7,578 D

value \$0.01 per

share

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

<ol> <li>Title of</li> </ol>	2.	3. Transaction Date	3A. Deemed	4. 5. 6. Date Exercisable and		7. Title	e and	8. Price of	9		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber Expiration Date		ate	Amount of		Derivative	J	
Security	or Exercise		any	Code	of	(Month/Day/	th/Day/Year)		lying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative Securities				Securities (Instr. 3 and 4)		(Instr. 5)	]
	Derivative										(
	Security				Acquired						J
					(A) or						J
					Disposed						7
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									Amount		
									or		
						Date Exercisable	Expiration Date	Title	Number		
									of		
				Code V	(A) (D)				Shares		
				Code v	(A) $(D)$				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

HASSEY L PATRICK C/O KAISER ALUMINUM CORP. 27422 PORTOLA PARKWAY, STE. 200 FOOTHILL RANCH, CA 92610

X

## **Signatures**

/s/ Cherrie I. Tsai, with power of attorney for L. Patrick Hassey

06/14/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to the reporting person of restricted stock under the Kaiser Aluminum Corporation 2016 Equity and Incentive Compensation Plan. All restrictions will lapse on June 14, 2019.
- Stock received by the reporting person under the Kaiser Aluminum Corporation 2016 Equity and Incentive Compensation Plan at such person's election in lieu of all or a portion of the reporting person's annual cash retainer for serving as a member of the Board of Directors of Kaiser Aluminum Corporation, lead independent director or chair of a committee of the Board of Directors.
- (3) Equal to the average of the closing prices of the Issuer's common stock as reported by the Nasdaq Stock Market, LLC for the twenty trading days prior to June 14, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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