Edgar Filing: O'Brien Shelly - Form 4

O'Brien She	lly										
Form 4											
March 04, 2	_										
FORM	14_{UNITED}	STATES	SECUR	TTIFS A	ND FX	∼нл	NGE (OMMISSION		PPROVAL	
	UNITED	DIAIL		shington,					OMB Number:	3235-0287	
Check th									Expires:	January 31,	
if no lon subject to		MENT O	F CHAN	GES IN	GES IN BENEFICIAL OWNERSH				•	2005 d average	
Section				SECURITIES					Estimated a burden hou		
Form 4 c									response 0.5		
Form 5 obligatio	-						-	e Act of 1934,			
may con	tinue. Section 170			vestment	•	· ·		1935 or Section	1		
See Instr 1(b).	ruction	50(II)	of the m	vestment	Compan	y At	101174	-0			
1(0).											
(Print or Type	Responses)										
1 1 1		D *						5 D L (* 1 * 0	D (' D		
				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
o brien sin	, sing		Symbol ENVES	ESTNET, INC. [ENV]							
(Lost)	(First)	Middle)			-	•]		(Check	k all applicable	;)	
(Last)	(First) (winduic)	(Month/D	f Earliest Transaction Dav/Year) Director 10% Own					Owner		
35 EAST W	ACKER DRIVE	E, SUITE		•				Officer (give	title Othe	er (specify	
2400								below) Chiej	below) f Legal Officer		
	(Street)		4 If Ame	ndment Da	te Origina				-		
				mendment, Date Original Ionth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)			
				,				_X_ Form filed by C			
CHICAGO	, IL 60601							Form filed by M Person	lore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Dat	e 2A. Deer	med	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Year)	n Date, if Transaction(A) or Disposed of (D)					Securities	Form: Direct			
(Instr. 3)	any (Month/I	Day/Year)	Code (Instr. 3, 4 and 5) (Instr. 8)				Beneficially Owned	< / /	Beneficial Ownership		
		(1.101111)	<i>suj</i> , <i>reur</i>)	(11541 0)				Following	(Instr. 4)	(Instr. 4)	
						(A)		Reported Transaction(s)			
						or	D .	(Instr. 3 and 4)			
Common				Code V	Amount	(D)	Price				
Stock	02/28/2019			A <u>(1)</u>	5,573	А	\$0	42,538	D		
Common							¢				
Stock	02/28/2019			F(2)	599	D	» 61.01	41,939	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	s	Relationships							
	Director	10% Owner	Officer	Other					
O'Brien Shelly 35 EAST WACKER DRIVE SUITE 2400 CHICAGO, IL 60601			Chief Legal Officer						
Signatures									
/s/ Shelly O'Brien	3/04/2019								

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents restricted stock units that vest over a 3-year period; one-third of the total amount vests on the first anniversary of the date of (1) the grant of restricted stock units and then one-twelfth of the total amount vests on each three-month anniversary of the date of grant thereafter. These restricted stock units convert into common stock on a one-for-one basis.

The reporting person is reporting the withholding by Envestnet, Inc. of shares of common stock to satisfy the reporting person's tax (2) withholding obligations in connection with the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.