Edgar Filing: SIFCO INDUSTRIES INC - Form 4

SIFCO INDUSTRIES INC

Form 4

January 11, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2. Issuer Name and Ticker or Trading

OMB Number:

Expires:

3235-0287

5. Relationship of Reporting Person(s) to

2,377

D

January 31, 2005

0.5

Estimated average

OMB APPROVAL

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Inc.

Common stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

Incanno Salvatore			Symbol SIFCO II	Symbol SIFCO INDUSTRIES INC [SIF]				Issuer (Chaok all applicable)			
(Last)	(First)	(Middle)	3. Date of I	3. Date of Earliest Transaction (Check all applicable)							
970 E. 64TH ST				(Month/Day/Year) 01/09/2017				Director 10% Owner X Officer (give title Other (specify below) VP of Finance & CFO			
	(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
CLEVELAN						Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table	I - Non-De	rivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3) SIFCO Industries,	2. Transaction (Month/Day/Y	Year) Exec	Deemed cution Date, if nth/Day/Year)	3. Transaction Code (Instr. 8)	Disposed (Instr. 3,	(A) of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Inc. Common stock	01/09/2017			A	6,230	A	(1)	6,230	D		
SIFCO Industries,											

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: SIFCO INDUSTRIES INC - Form 4

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and A	mount of	8. Price o
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Underlying Se	curities	Derivativ
Security	or Exercise		any	Code	of	(Month/Day/	Year)	(Instr. 3 and 4)	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e				(Instr. 5)
	Derivative				Securities	3				
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Evaluation		or	
						Exercisable	Expiration Date	Title	Number	
						Exercisable	Date		of	
				Code V	(A) (D)				Shares	
								SIFCO		
Bonus						(2)	(2)	Industries,	0.551	
unit	<u>(2)</u>					(3)	(3)	Inc.	3,571	
GIIIC								Common		
								Stock		

Relationshin

Reporting Owners

Reporting Owner Name / Address	Keiauviisiiips						
	Director	10% Owner	Officer	Other			

Incanno Salvatore 970 E. 64TH ST

VP of Finance & CFO

CLEVELAND, OH 44103

Signatures

Elizabeth Button - by power of attorney

01/11/2017

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Awarded 6,230 restricted shares of SIFCO Industries, Inc. common stock. Restriction to lapse on the day immediately preceding the three year anniversary date of this award.
- (2) Each bonus unit represented an unvested share of SIFCO Industries, Inc. common stock.

Reporting Owners 2

Edgar Filing: SIFCO INDUSTRIES INC - Form 4

(3) The bonus unit vests on May 31, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.