MoSys, Inc. Form 4 February 22, 2016

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 3235-0287

**OMB APPROVAL** 

Number:

January 31,

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subject to Section 16. Form 4 or Form 5 obligations may continue.

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

| 1. Name and Address of Reporting Person * SULLIVAN JAMES |          |          | 2. Issuer Name and Ticker or Trading<br>Symbol<br>MoSys, Inc. [MOSY] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                          |  |  |  |
|--|----------|----------|--|---|--|--|--|
| (Last)   | (First)  | (Middle) | 3. Date of Earliest Transaction                                      |   |  |  |  |
| 3301 OLCOTT  | STREET   |          | (Month/Day/Year)<br>02/18/2016                                       | Director 10% OwnerX_ Officer (give title Other (specify below) Chief Financial Officer            |  |  |  |
|  | (Street) |          | 4. If Amendment, Date Original Filed(Month/Day/Year)                 | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| SANTA CLARA, CA 95054                                    |          |          |  | Form filed by More than One Reporting Person  |  |  |  |

| (City)                               | (State) (2   | Zip) Table | e I - Non-D                             | erivative   | Secur            | ities Acq  | uired, Disposed o  | of, or Beneficial  | lly Owned   |
|--------------------------------------|--|------------|---|---|------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, any (Month/Day/Year) |            | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |            | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                      |  |            | Code V                                  | Amount  | (A)<br>or<br>(D) | Price      | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                   |  |   |
| Common Stock (1)                     | 02/18/2016   |            | M                                       | 6,000   | A                | <u>(1)</u> | 45,985   | D  |   |
| Common Stock (1)                     | 02/18/2016   |            | F                                       | 3,104   | D                | \$<br>0.59 | 42,881   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exer<br>Expiration E<br>(Month/Day | ate                | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|--------------------------------------|---|--|---|--|--------------------|---|--|---------------------------------|
|   |   |                                      |   | Code V                                 | (A) (D)   | Date<br>Exercisable                        | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | (2)   | 02/18/2016                           |   | M                                      | 6,000   | (2)  | (2)                | Common<br>Stock   | 6,000                                  | \$                              |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SULLIVAN JAMES 3301 OLCOTT STREET SANTA CLARA, CA 95054

Chief Financial Officer

### **Signatures**

/s/ C. Leitner, by Power of Attorney

02/22/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the conversion upon vesting of restricted stock units (RSU) into common stock. RSU converts into common stock on a one-for-one basis.
- On February 18 2014, the reporting person was granted 30,000 restricted stock units, vesting in 5 equal installments on March 18, 2014, February 18, 2015, February 18, 2016, February 18, 2017 and February 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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