

BAXTER INTERNATIONAL INC

Form 3

June 27, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Accogli Giuseppe

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

06/17/2016

3. Issuer Name and Ticker or Trading Symbol

BAXTER INTERNATIONAL INC [BAX]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

ONE BAXTER PARKWAY

(Street)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other(give title below) (specify below)
CVP, Renal

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

DEERFIELD,Â ILÂ 60015

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock, \$1 par value

15,945

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Exercisable

Expiration Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	Â <u>(1)</u>	03/04/2022	Common Stock, \$1 par value	1,241	\$ 28.97	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	03/06/2023	Common Stock, \$1 par value	2,461	\$ 30.95	D	Â
Stock Option (Right to Buy)	Â <u>(1)</u>	03/05/2024	Common Stock, \$1 par value	5,553	\$ 37.82	D	Â
Stock Option (Right to Buy)	Â <u>(2)</u>	03/04/2025	Common Stock, \$1 par value	9,386	\$ 37.17	D	Â
Stock Option (Right to Buy)	Â <u>(3)</u>	03/03/2026	Common Stock, \$1 par value	34,005	\$ 37.38	D	Â
Stock Option (Right to Buy)	Â <u>(4)</u>	03/03/2027	Common Stock, \$1 par value	27,943	\$ 39.33	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Accogli Giuseppe ONE BAXTER PARKWAY DEERFIELD,Â ILÂ 60015	Â	Â	Â CVP, Renal	Â

Signatures

/s/ Giuseppe
Accogli

06/27/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option is presently exercisable in full.
- (2) Two-thirds of this option is presently exercisable. The remaining one third becomes exercisable on March 6, 2017.
- (3) One-third of this option is presently exercisable. Each one-third of the remaining two-thirds become exercisable on March 3, 2017 and March 5, 2018, respectively.
- (4) This option will vest in three equal annual installments beginning on March 3, 2017, the first anniversary of the grant date of the existing option award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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