UQM TECHNOLOGIES INC Form 8-K November 06, 2013 Edgar Filing: UQM TECHNOLOGIES INC - Form 8-K

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE

SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): July 30, 2013

UQM Technologies, Inc.

(Exact name of registrant as specified in its charter)

Colorado (State or other jurisdiction 1-10869 (Commission 84-0579156 (I.R.S. employer

identification no.)

of incorporation)

file number) 4120 Specialty Place, Longmont, Colorado 80504

(Address of principal executive offices) (Zip code)

Registrant's telephone number, including area code: (303) 682-4900

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

[] Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

[] Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

[] Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

[] Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

ITEM 2.02. RESULTS OF OPERATIONS AND FINANCIAL CONDITION

On November 5, 2013, UQM Technologies, Inc. issued a press release reporting its fiscal second quarter results for the period ending September 30, 2013. A copy of this press release is furnished and attached hereto as Exhibit 99.1

ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS

(d) Exhibits

The following exhibit is furnished as part of this Current Report on Form 8-K.

Exhibit Number Description

Exhibit 99.1 Press Release dated November 5, 2013

The information in Item 2.02 of the Current Report, including the press release, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, ("Exchange Act") or otherwise subject to the liabilities of that section, nor shall such information be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act except as expressly set forth by specific reference in such filing.

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

UQM TECHNOLOGIES, INC.

Dated: November 6, 2013

By: <u>/s/ David I. Rosenthal</u> David I. Rosenthal Treasurer, Secretary and Chief Financial Officer

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INDEX TO EXHIBITS

Exhibit Number

Description

Exhibit 99.1 Press Release dated November 5, 2013