

SABINO ALAN N
Form 4
October 17, 2005

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SABINO ALAN N

2. Issuer Name and Ticker or Trading Symbol
CASELLA WASTE SYSTEMS INC
[CWST]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
 Director 10% Owner
 Officer (give title below) Other (specify below)
Regional Vice President

(Last) (First) (Middle)
C/O CASELLA WASTE SYSTEMS, INC., 25 GREENS HILL LANE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
10/13/2005

RUTLAND, VT 05701
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Class A Common Stock	10/13/2005		M	10,100	A \$ 4.61	10,100	D
Class A Common Stock	10/13/2005		S	10,100	D \$ 12.24	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option	\$ 4.61	10/13/2005		M	10,100	<u>(1)</u> 05/01/2006	Class A Common	10,100

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SABINO ALAN N
C/O CASELLA WASTE SYSTEMS, INC.
25 GREENS HILL LANE
RUTLAND, VT 05701

Regional Vice President

Signatures

/s/ Alan N. Sabino 10/17/2005

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This Option is immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 8pt">

446,605

Other liabilities

	628
	766
Other liabilities	
	98,646
	91,785
Total liabilities	
	1,136,307
	1,267,141
Commitments and contingencies	

Temporary Equity:

Redeemable non-controlling interests

89,918

98,765

Total temporary equity

89,918

98,765

Permanent Equity:

Voting Common Stock, par value \$0.00390625 per share:

Authorized, 1,280,000 shares

Issued, 399,240 and 413,167 shares, respectively

2

2

Non-Voting Common Stock, par value \$0.00390625 per share:

Explanation of Responses:

6

Authorized, 190,720,000 shares

Issued, 120,049,619 and 115,878,384 shares, respectively

469

453

Additional paid-in capital

93,534

Explanation of Responses:

7

	26,730
Notes receivable from stock option exercises	
	(7,688)
	(4,155)
Accumulated other comprehensive income	
	1,596
	3,923
Appropriated retained earnings	
	15,369

	18,699
Retained earnings	
	472,587
	566,420
Total Eaton Vance Corp. shareholders' equity	
	575,869
	612,072
Non-redeemable non-controlling interests	
	1,439
	1,513

Total permanent equity

577,308

613,585

Total liabilities, temporary equity and permanent equity

\$

1,803,533

\$

1,979,491

Eaton Vance Corp.
Consolidated Net Flows by Investment Mandate⁽¹⁾
(in millions)

	January 31, 2013	Three Months Ended October 31, 2012	January 31, 2012
Equity assets - beginning of period ⁽²⁾	\$ 80,782	\$ 80,260	\$ 84,281
Sales and other inflows	4,496	3,828	4,777
Redemptions/outflows	(4,959)	(5,902)	(6,476)
Net flows	(463)	(2,074)	(1,699)
Assets acquired ⁽³⁾	1,572	-	-
Exchanges	(8)	48	(8)
Market value change	4,635	2,548	2,383
Equity assets - end of period	\$ 86,518	\$ 80,782	\$ 84,957
Fixed income assets - beginning of period	49,003	48,198	43,708
Sales and other inflows	3,377	3,140	2,627
Redemptions/outflows	(3,375)	(2,752)	(2,453)
Net flows	2	388	174
Assets acquired ⁽³⁾	472	-	-
Exchanges	(22)	13	40
Market value change	224	404	1,592
Fixed income assets - end of period	\$ 49,679	\$ 49,003	\$ 45,514
Floating-rate income assets - beginning of period	26,388	25,245	24,322
Sales and other inflows	3,260	2,188	1,460
Redemptions/outflows	(1,359)	(1,387)	(1,289)
Net flows	1,901	801	171
Exchanges	33	21	(8)
Market value change	334	321	(109)
Floating-rate income assets - end of period	\$ 28,656	\$ 26,388	\$ 24,376
Alternative assets - beginning of period	12,864	10,612	10,650
Sales and other inflows	1,809	3,167	1,105
Redemptions/outflows	(1,055)	(909)	(1,202)
Net flows	754	2,258	(97)
Assets acquired ⁽³⁾	650	-	-
Exchanges	(13)	(19)	(38)
Market value change	90	13	(53)
Alternative assets - end of period	\$ 14,345	\$ 12,864	\$ 10,462
Implementation services assets - beginning of period ⁽⁴⁾	30,302	28,323	24,574
Sales and other inflows	6,479	2,115	1,527
Redemptions/outflows	(3,316)	(1,320)	(1,196)
Net flows	3,163	795	331
Assets acquired ⁽³⁾	32,064	-	-
Market value change	2,891	1,184	959
	\$ 68,420	\$ 30,302	\$ 25,864

Implementation services assets - end of period

Long-term assets - beginning of period	199,339	192,638	187,535
Sales and other inflows	19,421	14,438	11,496
Redemptions/outflows	(14,064)	(12,270)	(12,616)
Net flows	5,357	2,168	(1,120)
Assets acquired ⁽³⁾	34,758	-	-
Exchanges	(10)	63	(14)
Market value change	8,174	4,470	4,772
Total long-term assets - end of period	\$ 247,618	\$ 199,339	\$ 191,173
Cash management fund assets - end of period	155	169	533
Total assets under management - end of period	\$ 247,773	\$ 199,508	\$ 191,706

⁽¹⁾ Consolidated Eaton Vance Corp. See Attachment 9 for managed assets and flows of 49 percent-owned Hexavest Inc.

⁽²⁾ Balances include assets in balanced accounts holding income securities.

⁽³⁾ Balances represent Clifton assets acquired on December 31, 2012.

⁽⁴⁾ Balances represent amounts reclassified from equity for all periods presented.

Eaton Vance Corp.
Consolidated Net Flows by Investment Vehicle⁽¹⁾
(in millions)

	January 31, 2013	Three Months Ended October 31, 2012	January 31, 2012
Long-term fund assets - beginning of period	\$ 113,249	\$ 110,257	\$ 111,705
Sales and other inflows	9,079	7,261	6,905
Redemptions/outflows	(6,876)	(6,410)	(8,113)
Net flows	2,203	851	(1,208)
Assets acquired ⁽²⁾	638	-	-
Exchanges	(19)	-	(14)
Market value change	3,091	2,141	2,181
Long-term fund assets - end of period	\$ 119,162	\$ 113,249	\$ 112,664
Institutional separate account assets - beginning of period	43,338	40,285	38,003
Sales and other inflows	6,785	5,149	1,824
Redemptions/outflows	(3,821)	(3,535)	(2,215)
Net flows	2,964	1,614	(391)
Assets acquired ⁽²⁾	34,120	-	-
Exchanges	5	27	(29)
Market value change	2,923	1,412	1,143
Institutional separate account assets - end of period	\$ 83,350	\$ 43,338	\$ 38,726
High-net-worth separate account assets - beginning of period	15,036	14,682	13,256
Sales and other inflows	1,379	498	1,021
Redemptions/outflows	(1,198)	(657)	(552)
Net flows	181	(159)	469
Exchanges	(15)	9	(957)
Market value change	1,043	504	487
High-net-worth separate account assets - end of period	\$ 16,245	\$ 15,036	\$ 13,255
Retail managed account assets - beginning of period	27,716	27,414	24,571
Sales and other inflows	2,178	1,530	1,746
Redemptions/outflows	(2,169)	(1,668)	(1,736)
Net flows	9	(138)	10
Exchanges	19	27	986
Market value change	1,117	413	961
Retail managed account assets - end of period	\$ 28,861	\$ 27,716	\$ 26,528
Total long-term assets - beginning of period	199,339	192,638	187,535
Sales and other inflows	19,421	14,438	11,496
Redemptions/outflows	(14,064)	(12,270)	(12,616)

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Net flows	5,357	2,168	(1,120)
Assets acquired ⁽²⁾	34,758	-	-
Exchanges	(10)	63	(14)
Market value change	8,174	4,470	4,772
Total long-term assets - end of period	\$ 247,618	\$ 199,339	\$ 191,173
Cash management fund assets - end of period	155	169	533
Total assets under management - end of period	\$ 247,773	\$ 199,508	\$ 191,706

(1) Consolidated Eaton Vance Corp. See Attachment 9 for managed assets and flows of 49 percent-owned Hexavest Inc.

(2) Balances represent Clifton assets acquired on December 31, 2012.

Eaton Vance Corp.
Consolidated Assets under Management by Investment Affiliate ⁽¹⁾
(in millions)

		Three Months Ended		
	January 31, 2013	October 31, 2012		January 31, 2012
Eaton Vance Management ⁽²⁾	\$ 134,554	\$ 131,004	\$	133,538
Parametric	96,725	53,332		44,179
Atlanta Capital	16,494	15,172		13,989
Total	\$ 247,773	\$ 199,508	\$	191,706

⁽¹⁾ Consolidated Eaton Vance Corp. See Attachment 9 for managed assets and flows of 49 percent-owned Hexavest.

⁽²⁾ Includes managed assets of wholly owned subsidiaries Eaton Vance Investment Counsel and Fox Asset Management LLC,

as well as certain Eaton Vance-sponsored funds and accounts managed by Hexavest and unaffiliated third-party advisors

under Eaton Vance supervision.

Eaton Vance Corp.
Assets under Management by Investment Mandate⁽¹⁾
(in millions)

	January 31, 2013	October 31, 2012	% Change	January 31, 2012	% Change
Equity ⁽²⁾	\$ 86,518	\$ 80,782	7%	\$ 84,957	2%
Fixed income	49,679	49,003	1%	45,514	9%
Floating-rate income	28,656	26,388	9%	24,376	18%
Alternative	14,345	12,864	12%	10,462	37%
Implementation					
services	68,420	30,302	126%	25,864	165%
Cash management	155	169	-8%	533	-71%
Total	\$ 247,773	\$ 199,508	24%	\$ 191,706	29%

⁽¹⁾ Consolidated Eaton Vance Corp. See Attachment 9 for managed assets and flows of 49 percent-owned Hexavest Inc.

⁽²⁾ Balances include assets in balanced accounts holding income securities.

Eaton Vance Corp.
Hexavest Inc. Assets under Management and Net Flows
(in millions)

Three Months Ended January 31, 2013

	Eaton Vance-Distributed					
	Total	Hexavest Directly Distributed ⁽¹⁾	Eaton Vance- Sponsored Funds ⁽²⁾	Eaton Vance- Distributed Separate Accounts ⁽³⁾	Total Eaton Vance- Distributed	
Managed assets - beginning of period	\$ 12,110	\$ 12,073	\$ 37	\$ -	\$ 37	
Sales and other inflows	2,162	920	94	1,148	1,242	
Redemptions/outflows	(268)	(263)	(5)	-	(5)	
Net flows	1,894	657	89	1,148	1,237	
Market value change	540	494	9	37	46	
Managed assets - end of period	\$ 14,544	\$ 13,224	\$ 135	\$ 1,185	\$ 1,320	

Managed assets and flows of pre-transaction Hexavest clients and post-transaction Hexavest clients in Canada.

(1) Eaton Vance receives no management or distribution revenue on these assets, which are not included in the Eaton Vance consolidated results in Attachments 5, 6, 7 and 8.

Managed assets and flows of Eaton Vance-sponsored pooled investment vehicles for which Hexavest is advisor (2) or sub-advisor. Eaton Vance receives management and/or distribution revenue on these assets, which are included in the Eaton Vance consolidated results in Attachments 5, 6, 7 and 8.

Managed assets and flows of Eaton Vance-distributed separate accounts managed by Hexavest. Eaton Vance (3) receives distribution, but not management, revenue on these assets, which are not included in the Eaton Vance consolidated results in Attachments 5, 6, 7 and 8.