#### Edgar Filing: COMMUNITY TRUST BANCORP INC /KY/ - Form 4/A

#### COMMUNITY TRUST BANCORP INC /KY/

Form 4/A May 11, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB APPROVAL** OMB 3235-0287

Check this box if no longer subject to

Washington, D.C. 20549

Number: January 31, Expires: 2005

Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

GOOCH MARK A			Symbol COMMUNITY TRUST BANCORP INC /KY/ [CTBI]					Issi	Issuer (Check all applicable)				
	(Last)									Director 10% Owner Selection Other (specify below)			
(Street) PIKEVILLE, KY 41502-2947			4. If Amendment, Date Original 6. Filed(Month/Day/Year) A 05/10/2017					App _X_	EVP and Secretary  Individual or Joint/Group Filing(Check Applicable Line)  X_Form filed by One Reporting Person  Form filed by More than One Reporting Person				
(City) (State) (Zip)				Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
	1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution D any (Month/Day	ate, if	3. Transa Code (Instr.	8)	4. Securities on Disposed of (Instr. 3, 4 ar	(D)	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	common stock	04/03/2017			J <u>(1)</u>	V	133.5987	A	\$ 45.2	21,206.4244	D		
	common stock	05/09/2017			M		5,500	A	\$ 25.745	26,706.4244	D		
	common stock	05/10/2017			S		3,084	D	\$ 44.9	23,622.4244	D		
	common stock	05/10/2017			S		2,416	D	\$ 44.6431	21,206.4244	D		
	common stock									15,404.8191	I	by ESOP	

common stock 17,541.8545 I by 401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 E S ()	
				Code V	ŕ	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		
Option (2)	\$ 25.745	05/09/2017		M	5,500	01/29/2013	01/29/2018	Common Stock	5,500 (3)	9	

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GOOCH MARK A

PO BOX 2947 EVP and Secretary

PIKEVILLE, KY 41502-2947

### **Signatures**

Mark A. Gooch By: Marilyn T. Justice, Attorney-in-Fact 05/11/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Community Trust Bancorp, Inc. Dividend Reinvestment Plan Shares.
- (2) Right to buy pursuant to Non-Qualified Stock Option Agreement (CTBI 2006 Stock Ownership Incentive Plan)
- (3) Option previously reported as covering 5,000 shares @\$28.32 per share, adjusted to reflect the 10% stock dividend effective 06/02/14. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

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