

Pronsati Paul L.
Form 4
August 17, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
Pronsati Paul L.

(Last) (First) (Middle)

520 MADISON AVENUE

(Street)

NEW YORK, NY 10022

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CA, INC. [CA]

3. Date of Earliest Transaction
(Month/Day/Year)
08/15/2018

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
____X____ Officer (give title ____ Other (specify
below) below)

EVP, Global Operations & IT

6. Individual or Joint/Group Filing(Check
Applicable Line)
____X____ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.10 par value	08/15/2018		M	6,052 (1)	A \$ 31.06 61,197	D	
Common Stock, \$.10 par value	08/15/2018		M	42,692 (1)	A \$ 30.45 103,889	D	
Common Stock, \$.10 par value	08/15/2018		M	29,750 (1)	A \$ 31.53 133,639	D	

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Common Stock, \$.10 par value	08/15/2018	M	<u>16,995</u> (1)	A	\$ 31.69	150,634	D	
Common Stock, \$.10 par value	08/15/2018	S	<u>95,489</u> (1)	D	\$ <u>43.561</u> (2)	55,145	D	
Common Stock, \$.10 par value						1,302.909	I	401(k) Plan <u>(3)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 31.06	08/15/2018		M		6,052	(1)	(4)	01/14/2025	Common Stock, \$.10 par value	6,052
Employee Stock Option (right to buy)	\$ 30.45	08/15/2018		M		42,692	(1)	(5)	05/29/2025	Common Stock, \$.10 par value	42,692
Employee Stock Option (right to buy)	\$ 31.53	08/15/2018		M		29,750	(1)	(6)	05/15/2026	Common Stock, \$.10 par value	29,750

Employee Stock Option (right to buy)	\$ 31.69	08/15/2018	M	16,995 (1)	(7)	05/15/2027	Common Stock, \$.10 par value	16,995
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Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Pronsati Paul L. 520 MADISON AVENUE NEW YORK, NY 10022			EVP, Global Operations & IT	

Signatures

/s/ Paul L. Pronsati by David R. Goldman as attorney-in-fact 08/17/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transactions reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on June 1, 2018.
- Indicates the weighted average sale price of multiple sales effected on the transaction date. Sale prices ranged from \$43.500 to \$43.650, inclusive. The Reporting Person will provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price.
- (2) Shares held in the CA Savings Harvest Plan, a 401(k) Plan.
- (3) The option became exercisable over three years as follows: 34% on January 14, 2016, 33% on January 14, 2017 and 33% on January 14, 2018.
- (4) The option became exercisable over three years as follows: 34% on May 29, 2016, 33% on May 29, 2017 and 33% on May 29, 2018.
- (5) The option becomes exercisable over three years as follows: 34% on May 15, 2017, 33% on May 15, 2018 and 33% on May 15, 2019.
- (6) The option becomes exercisable over three years as follows: 34% on May 15, 2018, 33% on May 15, 2019 and 33% on May 15, 2020.
- (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.