

CARROLL MILTON
Form 4
January 03, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
CARROLL MILTON

(Last) (First) (Middle)
1111 LOUISIANA
(Street)
HOUSTON, TX 77002

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction
(Month/Day/Year)
12/31/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock | | | | (A) or (D) Price | 20,271 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Equivalent Units | <u>(1)</u> | 12/31/2018 | | A | 1,432.86 <u>(2)</u> | <u>(3)</u> | <u>(3)</u> | Common Stock | 1,432.86 |
| 2018 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 4,268.44 <u>(7)</u> |
| 2017 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 4,384.24 <u>(7)</u> |
| 2016 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 4,356.28 <u>(7)</u> |
| 2015 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 4,734.59 <u>(7)</u> |
| 2014 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 2,808.91 <u>(7)</u> |
| 2013 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 3,927.85 <u>(7)</u> |
| 2012 Restricted Stock Units | <u>(5)</u> | | | | | <u>(6)</u> | <u>(6)</u> | Common Stock | 5,812.82 <u>(7)</u> |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--------------------------------|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |

CARROLL MILTON
1111 LOUISIANA
HOUSTON, TX 77002

X

Signatures

/s/ Bruce A. Metzinger, by Power of
Attorney

01/03/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The security converts to common stock on a one-for-one basis.
- (2) Stock equivalents acquired under the Halliburton Company Directors' Deferred Compensation Plan reported on a pro rata basis to reflect Issuer's Plan quarter. Said Plan is an ongoing securities acquisition plan.
- (3) The stock equivalent units were accrued under the Company's Directors' Deferred Compensation Plan and are settled in the Company's common stock following cessation as a director.
- (4) A portion of the stock equivalents are attributable to quarterly dividends and a portion are attributable to quarterly fees are based on the closing price on December 27, 2018 of \$26.36 and December 28, 2018 of \$26.46.
- (5) Each restricted stock unit represents a right to receive one share of the Company's common stock.
- (6) The restricted stock units vest in four equal annual installments beginning with the first anniversary of the award. Shares will be delivered to the reporting person either upon vesting, or if reporting person elected to defer receipt, following cessation as a director.
- (7) Includes dividend equivalent units through December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.