Edgar Filing: STROBEL STEVEN J - Form 4

STROBEL STE	EVEN J							
Form 4 November 09, 2	018							
FORM 4	Л	TATES SECUR	RITIES AND EX	CHANGE	COMMISSION	OMB A OMB	PPROVAL	
Check this be		Washington, D.C. 20549					3235-0287 January 31,	
if no longer subject to Section 16.	STATEM	ENT OF CHAN	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per	
							•	
(Print or Type Resp	ponses)							
1. Name and Addr STROBEL STI		erson <u>*</u> 2. Issuer Symbol	r Name and Ticker or	5. Relationship of Reporting Person(s) to Issuer				
		Hill-Ro	m Holdings, Inc.	(Check all applicable)				
(Last) 130 EAST RAI STREET, SUIT	NDOLPH	iddle) 3. Date of (Month/D 11/07/2	-	Director 10% Owner X Officer (give title Other (specify below) below) SVP, Chief Financial Officer				
	(Street)		endment, Date Origina nth/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)				
CHICAGO, IL	60601				_X_ Form filed by Form filed by M Person			
(City)	(State) (Z	Zip) Tabl	le I - Non-Derivative	Securities Ac	quired, Disposed o	f, or Beneficial	lly Owned	
Security (N (Instr. 3)	. Transaction Date Month/Day/Year)	Execution Date, if any		ed (A) or ed of (D) , 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock					22,307	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A) (D)	Date Exercisable	Expiration Date	A Title N o
Employee Stock Option (11/7/2018)	\$ 96.96	11/07/2018		А	14,649	<u>(1)</u>	11/07/2028	Common Stock
Restricted Stock Units (11/7/2018)	\$ 0 <u>(2)</u>	11/07/2018		А	4,207	11/08/2019 <u>(3)</u>	11/08/2021 <u>(3)</u>	Common Stock

Reporting Owners

Reporting Owner Name / Address					
	Director	10% Owner	Officer	Other	
STROBEL STEVEN J 130 EAST RANDOLPH STREET SUITE 1000 CHICAGO, IL 60601			SVP, Chief Financial Officer		
Signatures					
/s/ Ari D. Mintzer as Attorney-in-Fact for Steven J. Strobel			11/09/2018		
**Signature of Reporting Person			Date		
Explanation of Responses:					

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock options vest 25% on each of November 7, 2019, 2020, 2021 and 2022.
- (2) Restricted stock units convert into common stock on a one-for-one basis.
- (3) Restricted stock units vest 34% on November 8, 2019 and 33% on November 8, 2020 and 2021. Restricted stock units are entitled to dividend equivalent rights which accrue on dividend record dates.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.