

HAVERTY MICHAEL R

Form 4

March 15, 2010

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
HAVERTY MICHAEL R

(Last) (First) (Middle)

KANSAS CITY SOUTHERN, PO
BOX 219335

(Street)

KANSAS CITY, MO 64121-9335

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
KANSAS CITY SOUTHERN [KSU]

3. Date of Earliest Transaction
(Month/Day/Year)
03/12/2010

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

_____X_____ Director _____ 10% Owner
 _____X_____ Officer (give title _____ Other (specify
 below) below)

Chairman & CEO

6. Individual or Joint/Group Filing (Check Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	03/12/2010		F		3,476	D	\$ 35.95	790,840	D	
Common Stock								66,979	I	Held by spouse
Common Stock								19,258.515	I	Held by 401(k) and P/S Plan
Common Stock								29,746.499	I	Held by ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy) <u>(1)</u>	\$ 14.34					02/27/2001 02/26/2011	Common Stock	12,363
LSAR <u>(1)</u>	\$ 14.34					<u>(1)</u> <u>(1)</u>	Common Stock	12,363
Employee Stock Option (Right to Buy) <u>(1)</u>	\$ 13.42					02/06/2002 02/05/2012	Common Stock	13,207
LSAR <u>(1)</u>	\$ 13.42					<u>(1)</u> <u>(1)</u>	Common Stock	13,207
Employee Stock Option (Right to Buy) <u>(1)</u>	\$ 12.55					01/16/2003 01/15/2013	Common Stock	15,901
LSAR <u>(1)</u>	\$ 12.55					<u>(1)</u> <u>(1)</u>	Common Stock	15,901
Employee Stock Option (Right to Buy) <u>(1)</u>	\$ 12.55					01/16/2008 01/15/2013	Common Stock	90,000

LSAR ⁽¹⁾	\$ 12.55	<u>(1)</u>	<u>(1)</u>	Common Stock	90,000
Employee Stock Option (Right to Buy) ⁽¹⁾	\$ 14.6	01/02/2005	01/01/2014	Common Stock	90,000
LSAR ⁽¹⁾	\$ 14.6	<u>(1)</u>	<u>(1)</u>	Common Stock	90,000
Employee Stock Option (Right to Buy) ⁽¹⁾	\$ 14.53	02/09/2004	02/08/2014	Common Stock	13,689
LSAR ⁽¹⁾	\$ 14.53	<u>(1)</u>	<u>(1)</u>	Common Stock	13,689
Employee Stock Option (Right to Buy)	\$ 35.41	03/01/2013 ⁽²⁾	02/29/2020	Common Stock	49,200

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HAVERTY MICHAEL R KANSAS CITY SOUTHERN PO BOX 219335 KANSAS CITY, MO 64121-9335	X		Chairman & CEO	

Signatures

Brian P. Banks,
Attorney-in-fact

03/15/2010

 **Signature of Reporting Person

 Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) LSARs are granted in tandem with stock options. LSARs become exercisable only following a change-in-control of the Company in lieu of related options and are exercisable only for cash. LSARs terminate when the related options are exercised or terminated.

Prior to March 1, 2013, this option may become exercisable in 1/3 increments if the daily closing price of Kansas City Southern's common stock on the New York Stock Exchange is greater than or equal to \$38.95, \$42.85, and \$47.14, respectively, for at least 30 consecutive trading days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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