Edgar Filing: ROBERTS GEOFFREY D - Form 4

ROBERTS GEOFFREY D

Form 4

November 15, 2002

FORM 4

_ Check this box if no

longer subject to Section

obligations may continue.

16. Form 4 or Form 5

See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

Name and Address of Reporting Person* Roberts Geoffrey D.						and Ticker oration (E		6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle) Entergy Corporation 20 Greenway Plaza, Suite 1025				of Reporting Person,					tement for h/Day/Year /02	Director 10% Owner X Officer (gi Other (specif	Director			
(Street) Houston, , TX 77046									Amendment, of Original th/Day/Year)	7. Individual (Check Appli X Form filed Person Form filed Reporting Pe	. Individual or Joint/Group Filing Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State)				Table					ities Acquired, Disp					
1. Title of Security (Instr. 3) 2. Transaction Date (Month/ Day/ Year)		Execution Date, if any	any Month/Day/		. 8)			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transactions(s)	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
	,	,		Code	V	Amount	or (D)		(Instr. 3 & 4)	(mstr. 1)				
Entergy Corporation Common Stock	11/14/02			M (1)		40,342	A	28.0265		D				
Entergy Corporation Common Stock	11/14/02			F		31,848 (1)	D	43.2558		D				
Entergy Corporation Common Stock	11/14/02			J		8,494 (1)	D	43.2558		D				
Entergy Corporation Common Stock									1,2	239 I	Savings Plan Balance 09/30/02			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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(e.g., puts, calls, warrants, options, convertible securities)

	2. Conver-			4. T			6. Date Exerc		7. Title and Amount					11. Natu
Derivative					of D		and Expiratio	n	, ,		Derivative			of Indire
		Date	Execution			ivative						Securities		Benefic
	Price of		Date,	Code			(Month/Day/		(Instr. 3 & 4)		(Instr. 5)	Beneficially		Owners
` /			if any				Year)					Owned		(Instr. 4
			(Month/	(Instr.	(A)							Following	ative	
		Year)		8)	Disp	osed						Reported	Security:	
			Year)		of (l	D)						Transaction(s)	Direct	
												(Instr. 4)	(D)	
					(Ins	tr. 3, 4						or		
					& 5)								Indirect	
				Code V	_		Date	Expira-	Title	Amount			(I)	
				Couc	(11)			tion	11110	or			(Instr. 4)	
								Date		Number				
										of				
										Shares				
Employee	28 0265	11/14/02		М		40 342	Note 2 ⁽²⁾	03/01/09	FTD	40,342		0		
Stock	20.0203	11/17/02		141		70,572	110te 2 <u></u>	03/01/07	Common	70,572				
Option									Common					
(right to														
_														
buy)														

Explanation of Responses:

(1) Filing individual engaged in cashless exercise of in-the-money stock options, exempt under Rule 16b-6(b). The shares subject to the option were sold on the same day that the options were exercised. The sales took place over the course of the day at six different prices. Although the average sale price is shown in Column 4, the actual prices were: 34,100 shares at 43.25; 700 shares at 43.26; 100 shares at 43.27; 300 shares at 43.28; 4,100 shares at 43.29; and 1,042 shares at 43.30. A portion of the sale price of the shares (Code F) was used to pay the exercise price, commission and the tax withholding. The remaining portion of the sale price of the shares (Code J) was retained by the the filing individual. (2) Of the 150,000 options granted on March 1, 1999, one-fourth of the options became exercisable on each of the first four anniversary date of the grant.

By: /s/ <u>Christopher T. Screen</u> 11/15/02 for Geoffrey D. Roberts

**Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).