

ENTERGY CORP /DE/
Form U-9C-3
March 30, 2004

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM U-9C-3

QUARTERLY REPORT PURSUANT TO RULE 58

For the Quarterly Period Ended December 31, 2003

ENTERGY CORPORATION

(a Delaware corporation)
639 Loyola Avenue
New Orleans, Louisiana 70113
Telephone (504) 576 4000

(Name of registered holding company and address of principal executive offices)

ITEM 1 - ORGANIZATION CHART

N/A for the fourth calendar quarter of the fiscal year.

ITEM 2 - ISSUANCES AND RENEWALS OF SECURITIES AND CAPITAL CONTRIBUTIONS

| <u>Company issuing security</u> | <u>Type of security issued</u> | <u>Principal amount of security</u> | <u>Issue or renewal</u> | <u>Cost of capital</u> | <u>Person to whom security was issued</u> | <u>Collateral given with security</u> | <u>Consideration received for each security</u> |
|---------------------------------|--------------------------------|-------------------------------------|-------------------------|------------------------|---|---------------------------------------|---|
| N/A | N/A | -0- | N/A | -0- | N/A | N/A | -0- |

| <u>Company contributing capital</u> | <u>Company receiving capital</u> | <u>Amount of capital contribution</u> |
|--------------------------------------|---|---------------------------------------|
| Entergy Retail Holding Company | Entergy Solutions Ltd. (ESLTD) | \$1,502,397 |
| Entergy Retail Texas, Inc. | ESLTD | \$15,176 |
| Entergy District Energy Holdings LLC | Entergy Solutions District Energy LLC (ESDEL) | \$23,836,000 |
| ESDEL | Entergy Solutions District Systems LLC (ESDSL) | \$21,972,955 |
| ESDSL | Entergy Solutions Cooling LP (ESCL) | \$21,864,340 |
| ESDSL | Entergy Solutions GP LLC (ESGP) | \$109,871 |
| ESGP | ESCL | \$109,871 |

ITEM 3 - ASSOCIATE TRANSACTIONS

Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

| Reporting company rendering <u>services</u> | Associate company receiving <u>services</u> | Types of services <u>rendered</u> | Direct costs <u>charged</u> | Indirect costs <u>charged</u> | Cost of <u>capital</u> | Total amount <u>billed</u> |
|---|---|--|-----------------------------|-------------------------------|------------------------|----------------------------|
| Entergy Power RS LLC (EPRS) | RS Cogen LLC (RS Cogen) | Management, administrative, tax, and accounting services | \$73,292 | \$-0- | N/A | \$73,292 ¹ |

Part I - Transactions Performed by Reporting Companies on Behalf of Associate Companies - Continued

| | | | | | | |
|---------------------------------------|---|---|------------|--------|-----|------------|
| Entergy Solutions Supply Ltd. (ESSLT) | ESLTD | Energy supply aquisition services | \$ 933,365 | \$ -0- | N/A | \$ 933,365 |
| Entergy-Koch Trading LP (EKTLP) | EWOM Marketing, LP (EWOM) | Energy commodity marketing services | \$670,161 | \$-0- | N/A | \$670,161 |
| EKTLP | Entergy-Koch Trading Canada, ULC (EKT-Canada) | Same as above | \$48,696 | \$-0- | N/A | \$48,696 |
| EKTLP | EWOM | Professional services and back office support | \$159,349 | \$-0- | N/A | \$159,349 |
| EKTLP | Entergy-Koch Trading Ltd. (UK) | Same as above | \$23,550 | \$-0- | N/A | \$23,550 |
| EKTLP | EKT-Canada | Same as above | \$158,722 | \$-0- | N/A | \$158,722 |
| EWOM | Entergy Power, Inc. | Energy commodity marketing services | \$207,202 | \$-0- | N/A | \$207,202 |
| EWOM | Entergy Power Warren Corp. I | Energy commodity marketing services | \$207,202 | \$-0- | N/A | \$207,202 |

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies

| Associate company rendering <u>services</u> | Reporting company receiving <u>services</u> | Types of services <u>rendered</u> | Direct costs <u>charged</u> | Indirect costs <u>charged</u> | Cost of <u>capital</u> | Total amount <u>billed</u> |
|---|---|---|-----------------------------|-------------------------------|------------------------|----------------------------|
| Entergy Enterprises, Inc. (EEI) | EWOM | Professional services and back office support | \$403,201 | \$-0- | N/A | \$403,201 |
| EEI | EKTLP | Same as above | \$210,329 | \$-0- | N/A | \$210,329 |
| EEI | Entergy Holdings LLC (EHLLC) | Same as above | \$61,868 | \$-0- | N/A | \$61,868 |
| EEI | Entergy Thermal LLC (Entergy Thermal) | Same as above | \$524,433 | \$-0- | N/A | \$524,433 |

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

| | | | | | | |
|-----|--|---------------|-------------|-------|-----|-------------|
| EEI | Entergy Thermal-UNO LLC (Entergy Thermal-UNO) | Same as above | (\$1,657) | \$-0- | N/A | (\$1,657) |
| EEI | ESLTD | Same as above | \$1,979,029 | \$-0- | N/A | \$1,979,029 |
| EEI | Entergy Solutions Essentials Ltd. (ESSEN) | Same as above | (\$20) | \$-0- | N/A | (\$20) |
| EEI | Entergy Solutions Select Ltd. (ESOLS) | Same as above | \$50,961 | \$-0- | N/A | \$50,961 |
| EEI | ESSLT | Same as above | \$37,074 | \$-0- | N/A | \$37,074 |
| EEI | ESDEL | Same as above | \$262,468 | \$-0- | N/A | \$262,468 |

Part II - Transactions Performed by Associate Companies on Behalf of Reporting Companies – Continued

| <u>Associate company rendering services</u> | <u>Reporting company receiving services</u> | <u>Types of services rendered</u> | <u>Direct costs charged</u> | <u>Indirect costs charged</u> | <u>Cost of capital</u> | <u>Total amount billed</u> |
|--|---|--|-----------------------------|-------------------------------|------------------------|----------------------------|
| EEI | ESCL | Same as above | \$144,727 | \$-0- | N/A | \$144,727 |
| EEI | TLG Services, Inc. (TLG) | Same as above | \$241,433 | \$-0- | N/A | \$241,433 |
| EEI | EPRS | Management, administrative, tax, and accounting services | \$138,856 | \$-0- | N/A | \$138,856 |
| Entergy Solutions Management Services LLC (ESLMS) | ESLTD | Professional services and back office support | \$2,025,144 | \$-0- | N/A | \$2,025,144 |
| ESLMS | Entergy Thermal | Same as above | \$116 | \$-0- | N/A | \$116 |
| Entergy Retail Texas, Inc. | ESLTD | Professional services and back office support | \$8,908 | \$-0- | N/A | \$8,908 |
| Entergy-Koch, LP | EKTLP | Same as above | \$1,340,123 | \$-0- | N/A | \$1,340,123 |
| Entergy Nuclear, Inc. | TLG | O&M Services relating to decommissioning of nuclear electric generating facilities | \$143,836 | \$-0- | N/A | \$143,836 |
| Entergy Nuclear Operations, Inc. | TLG | Same as above | \$274,000 | \$-0- | N/A | \$274,000 |

ITEM 4 – SUMMARY OF AGGREGATE INVESTMENT

Investments in energy-related companies:

| | | |
|--|------------------|--------|
| Total consolidated capitalization as of December 31, 2003 | \$17,220,386,000 | Line 1 |
| Total capitalization multiplied by 15% (line 1 multiplied by 0.15) | 2,583,057,900 | Line 2 |

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

| | | |
|---|-----------------|--------|
| Greater of \$50 million or line 2 | 2,583,057,900 | Line 3 |
| Total current aggregate investment: | | |
| Energy management services (SOURCEONE, INC.) | 996,084 | |
| Energy marketing and brokering (ESLTD, EKTLP, EWO GP LLC, EWOM, ESOLS, ESSEN, Tradespark, and ESSLT) | 717,534,010 | |
| Energy related technical and similar services (EHLLC, Entergy Thermal, Entergy Thermal – UNO, TLG, Entergy Nuclear Environmental Services, LLC, ESDEL, ESDSL, ESCL, and ESGP) | 82,605,942 | |
| Development and ownership of QFs (EPRS and RS Cogen) | 189,300,000 | |
| Ownership, operation, and servicing of fuel procurement, transportation, handling, and storage facilities (Entergy Nuclear PFS, Inc., Private Fuel Storage LLC, and EnerSea Transport, LLC) | 877,000 | |
| Total current aggregate investment | 991,313,036 | Line 4 |
| Difference between the greater of \$50 million or 15% of capitalization and the total aggregate investment of the registered holding company system (line 3 less line 4) | \$1,591,744,864 | Line 5 |

ITEM 5 – OTHER INVESTMENTS

| Major line of energy-related business | Other investment in last U-9C-3 report | Other investment in this U-9C-3 report | Reason for difference in other investment |
|---------------------------------------|--|--|---|
| N/A | -0- | -0- | N/A |

ITEM 6 - FINANCIAL STATEMENTS AND EXHIBITS

Financial Statements

N/A for the fourth calendar quarter of the fiscal year.

B. Exhibits

1. Certificate of filing of Form U-9C-3 for the 3rd Quarter of 2003 with interested state commissions and municipal regulator.

SIGNATURE

Edgar Filing: ENTERGY CORP /DE/ - Form U-9C-3

Pursuant to the requirements of the Public Utility Holding Company Act of 1935, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Entergy Corporation

By: /s/ Nathan E. Langston
Nathan E. Langston
Senior Vice President and Chief Accounting Officer

Dated: March 30, 2004

1. These services were provided on a basis other than "at cost," pursuant to the Commission's December 20, 2002 order (HCAR No. 27626).