#### VINCI DONALD W

Form 4

October 17, 2017

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

burden hours per

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

0.5

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*\bigselectric VINCI DONALD W

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Symbol

(Middle)

ENTERGY CORP /DE/ [ETR]

(Check all applicable)

C/O ENTERGY CORPORATION

3. Date of Earliest Transaction (Month/Day/Year) 10/16/2017

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_\_\_ Officer (give title \_\_\_\_ Other (specify below)

EVP & Chief Admin. Officer

LEGAL DEPARTMENT, 639 LOYOLA AVENUE, 26TH FLOOR

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

NEW ORLEANS, LA 70113

Per

| (City)                               | (State)                                 | (Zip) Table   | e I - Non-D   | erivative    | Secur                       | ities Acqu     | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|---|--------------|-----------------------------|----------------|--|--|-----------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. 4. Securiti Transaction(A) or Dis Code (Instr. 3, 4 (Instr. 8) |              | spose<br>4 and<br>(A)<br>or | d of (D)<br>5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) |           |
| Common<br>Stock                      | 10/16/2017                              |   | Code V<br>M   | Amount 4,000 | (D)                         | Price \$ 77.53 | 14,416 (1)   | D  |           |
| Common<br>Stock                      | 10/16/2017                              |   | S(2)  | 3,826        | D                           | \$ 83          | 10,590   | D  |           |
| Common<br>Stock                      | 10/16/2017                              |   | M   | 1,867        | A                           | \$ 64.6        | 12,457   | D  |           |
| Common<br>Stock                      | 10/16/2017                              |   | S(2)  | 1,590        | D                           | \$ 83          | 10,867   | D  |           |
| Common<br>Stock                      |   |   |   |              |                             |                | 6,043  | Ι  | By 401(k) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|--------------------------------------|---|---|--|--|--------------------|---|--|---------------------------------|
|   |   |                                      |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 77.53  | 10/16/2017                           |   | M                                       | 4,000  | <u>(3)</u>   | <u>(3)</u>         | Common<br>Stock   | 4,000                                  | \$                              |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 64.6   | 10/16/2017                           |   | M                                       | 1,867  | <u>(4)</u>   | <u>(4)</u>         | Common<br>Stock   | 1,867                                  | \$                              |

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

VINCI DONALD W C/O ENTERGY CORPORATION LEGAL DEPARTMENT 639 LOYOLA AVENUE, 26TH FLOOR NEW ORLEANS, LA 70113

EVP & Chief Admin. Officer

# **Signatures**

/s/ Daniel T. Falstad by power of attorney 10/17/2017

\*\*Signature of Reporting Person Date

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 153 shares of Entergy common stock acquired through the Entergy Corporation dividend reinvestment plan and 186 shares acquired under the dividend reinvestment feature of Entergy Corporation's equity ownership plans.
- (2) The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 23, 2017.
- (3) The options vested in three equal annual installments on January 29, 2010, 2011 and 2012.
- (4) The options vested in three equal annual installments on January 31, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.