#### Edgar Filing: EDWARDS ROBERT D - Form 4

# EDWARDS ROBERT D Form 4 October 07, 2002

FORM 4

\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo & Dye's Instant Form 4 Filer www.section16.net

					me and Tic ALE)	cker or	I	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 30 West Superior Street			of Repo	orting	ntification I g Person, voluntary)	Numbe	Mo	nth/Day/Year 7/02	_ Director 10% Owner X Officer (give title below) Other (specify below)			
								]	Exec. VP; CEC Power			
	(Street)						- · ·	,		Joint/Group Filing		
Duluth, MN 558	:02								(Check Applicable Line) X Form filed by One Reporting			
	02						,		Person	1 0		
										More than One		
								I	Reporting Perso	n		
(City)	(State) (	(Zip)	Г	able	e I Non-E	Derivat	ive Sec	urities Acquired, Dispos	ed of, or Benet	ficially Owned		
1. Title of	2. Trans-	2A. Deemed	3. Trans	5-	4. Securitie	es Acqu	uired	5. Amount of	6. Owner-	7. Nature of		
Security	action	Execution			(A) or Disp		f (D)	Securities	ship Form:	Indirect		
(Instr. 3)	Date	Date,	(Instr. 8	)	(Instr. 3, 4	& 5)	-	Beneficially	Direct (D)	Beneficial		
	(Month/ Day/	if any	Code	V	Amount	(A)	Price	Owned Follow-	or Indirect (I)	Ownership		
	Year)	(Month/Day/				or		ing Reported	(Instr. 4)	(Instr. 4)		
		Year)				(D)		Transactions(s)				
								(Instr. 3 & 4)				
Common Stock								53808.735 <u>(</u>	<b>D</b>			
Common Stock								16705.964	2) I	By RSOP Trust		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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#### FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

( <b>ing</b> ), publy (unitarities), options), convertible securities)												
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature	
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect	
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial	
	Price of	Date	Date,	Code	Derivati	(Melonth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership	

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(Instr. 3)	Derivative Security	(Month/ Day/	·	(Instr. 8)		Securitie¥ear) Acquired (A) or Disposed of (D) (Instr. 3, 4 &				(Instr	. 3 & 4)	Following Reported Transaction(s) (Instr. 4)	of Deriv- ative Security: Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)
				Code	v	(A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares			

Explanation of Responses:

(1) Includes shares acquired in exempt transactions under the dividend reinvestment feature of ALLETE's stock purchase and dividend reinvestment plan. This information is based on a plan statement as of September 30, 2002.

(2) Gives effect to recent ESOP allocations and dispositions. Also includes shares acquired in exempt transactions under ALLETE's retirement savings and stock ownership plan (RSOP). RSOP is the recent consolidation of ALLETE's supplemental retirement and employee stock ownership plans. This information is based on an RSOP statement as of September 30, 2002.

By: /s/ Ingrid Kane-Johnson	<u>October 7, 2002</u>
Ingrid Kane-Johnson f/k/a Ingrid L. Kane for Robert	Date
D. Edwards	
**Signature of Reporting Person	

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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