

NEWPARK RESOURCES INC
Form 4
May 17, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Howes Paul L

2. Issuer Name and Ticker or Trading Symbol
NEWPARK RESOURCES INC
[NR]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
9320 LAKESIDE
BOULEVARD, SUITE 100

3. Date of Earliest Transaction
(Month/Day/Year)
05/15/2017

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CEO

(Street)
THE WOODLANDS, TX 77381

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	05/15/2017		S		61,140 (1)	D	
					\$ 7.9377 (2)		502,625
Common Stock	05/15/2017		M		47,909	A	
					\$ 7.82		550,534
Common Stock	05/15/2017		S		47,909 (3)	D	
					\$ 8.0107 (4)		502,625
Common Stock	05/16/2017		M		32,091	A	
					\$ 7.82		534,716

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person.
- (2) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.85 to \$8.15, inclusive.
- (3) The securities were sold pursuant to a Rule 10b5-1 Plan adopted by the Reporting Person in order to exercise an option grant which is expiring on June 11, 2017.
- (4) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.90 to \$8.15, inclusive.
- (5) The price reported is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$7.90 to \$7.905, inclusive.
- (6) The options become exercisable in increments of one-third of the shares each year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.