NORDSTROM INC

Form 4

October 08, 2015

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

0.5

burden hours per

response...

5. Relationship of Reporting Person(s) to

Issuer

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Symbol

1(b).

(Print or Type Responses)

**SARI ROBERT** 

1. Name and Address of Reporting Person \*

|   |  |   | NORDSTROM INC [JWN]               |  |   |                           | (Check all applicable)   |   |  |   |  |
|---|--|---|-----------------------------------|--|---|---------------------------|--|---|--|---|--|
| (Last) (First) (Middle)  C/O NORDSTROM, INC., 1700 7TH AVENUE |  | 3. Date of Earliest Transaction (Month/Day/Year) 10/06/2015 |                                   |  |   |                           | Director 10% Owner X Officer (give title Other (specify below) |   |  |   |  |
| Filed(Mon   |  |   |                                   | ndment, Date Original<br>nth/Day/Year) |   |                           |  | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting |  |   |  |
| SEATTLE,  |  |   |                                   |  |   | Person                    |  |   |  |   |  |
| (City)  | (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |                                   |  |   |                           |  |   |  |   |  |
| 1.Title of<br>Security<br>(Instr. 3)                          | 2. Transaction D<br>(Month/Day/Yea   | r) Executi<br>any   | emed<br>on Date, if<br>/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securi<br>onAcquired<br>Disposed<br>(Instr. 3, | d (A) of (D) 4 and (A) or | ))   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4)                      | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Common<br>Stock   | 10/06/2015   |   |                                   | A                                      | 178   | A                         | \$0  | 7,256 <u>(1)</u>  | D  |   |  |
| Common<br>Stock   |  |   |                                   |  |   |                           |  | 1,500   | I  | By Wife   |  |
| Common<br>Stock   |  |   |                                   |  |   |                           |  | 1,625.89  | I  | By 401(k)<br>Plan, per<br>Plan<br>statement                       |  |

dated 9/30/15

#### Edgar Filing: NORDSTROM INC - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.        | 5.                           | 6. Date Exerc       | isable and         | 7. Title | and      | 8. Price of |
|-------------|-------------|---------------------|--------------------|-----------|------------------------------|---------------------|--------------------|----------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transac   | ctionNumber                  | Expiration Da       | ate                | Amour    | nt of    | Derivative  |
| Security    | or Exercise |                     | any                | Code      | of                           | (Month/Day/         | Year)              | Underl   | ying     | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8 | <ol><li>Derivative</li></ol> | e                   |                    | Securit  | ties     | (Instr. 5)  |
|             | Derivative  |                     |                    |           | Securities                   |                     |                    | (Instr.  | 3 and 4) |             |
|             | Security    |                     |                    |           | Acquired                     |                     |                    |          |          |             |
|             |             |                     |                    |           | (A) or                       |                     |                    |          |          |             |
|             |             |                     |                    |           | Disposed                     |                     |                    |          |          |             |
|             |             |                     |                    |           | of (D)                       |                     |                    |          |          |             |
|             |             |                     |                    |           | (Instr. 3,                   |                     |                    |          |          |             |
|             |             |                     |                    |           | 4, and 5)                    |                     |                    |          |          |             |
|             |             |                     |                    |           |                              |                     |                    |          |          |             |
|             |             |                     |                    |           |                              |                     |                    |          | Amount   |             |
|             |             |                     |                    |           |                              | Date<br>Exercisable | Expiration<br>Date |          | or       |             |
|             |             |                     |                    |           |                              |                     |                    |          | Number   |             |
|             |             |                     |                    |           |                              |                     |                    |          | of       |             |
|             |             |                     |                    | Code      | V (A) (D)                    |                     |                    |          | Shares   |             |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

SARI ROBERT C/O NORDSTROM, INC. 1700 7TH AVENUE SEATTLE, WA 98101

Exec. VP and Secretary

### **Signatures**

Paula McGee, Attorney-in-Fact for Robert

B. Sari 10/08/2015

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents additional restricted stock units (RSUs) resulting from the adjustment on October 6, 2015 of outstanding unvested RSUs by a factor of 1.0708857 in connection with the special cash dividend approved by the issuer's board of directors on October 1, 2015.

Adjustment of outstanding unvested RSUs was required pursuant to the terms of Nordstrom, Inc. 2010 Equity Incentive Plan. These additional RSUs are subject to the original vesting schedule in place with respect to the RSUs giving rise to the award adjustment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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