#### NORDSTROM PETER E

Form 4/A March 28, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * NORDSTROM PETER E |                                      |              | 2. Issuer Name <b>and</b> Ticker or Trading Symbol NORDSTROM INC [JWN] |                            |  | 5. Relationship of Reporting Person(s) to Issuer   |  |  |   |
|---|--------------------------------------|--------------|--|----------------------------|--|--|--|--|---|
| (Last)  | (First)                              | (Middle)     | 3. Date of Earliest Transaction  |                            |  | (Check all applicable)   |  |  |   |
| C/O NORD<br>SIXTH AV  | STROM, INC.,<br>ENUE                 | 1617         | (Month/D<br>03/05/20   | •                          |  |  | X Director<br>X Officer (gi<br>below)  |  | % Owner<br>her (specify   |
| (Street)  |                                      |              | 4. If Amendment, Date Original   |                            |  | 6. Individual or Joint/Group Filing(Check  |  |  |   |
| SEATTLE, WA 98101   |                                      |              | Filed(Month/Day/Year) 03/08/2019                                       |                            |  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |   |
| (City)  | (State)                              | (Zip)        | Table  | e I - Non-Deriva           | ative Securi                               | ties Ac  | quired, Disposed   | of, or Beneficia   | ally Owned  |
| 1.Title of<br>Security<br>(Instr. 3)                        | 2. Transaction Da<br>(Month/Day/Year | e) Execution | med<br>on Date, if<br>Day/Year)  | TransactionAcc<br>Code Dis | sposed of (D<br>str. 3, 4 and<br>(A)<br>or | )  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)                       |
| Common<br>Stock   |                                      |              |  |                            |  |  | 3,226.3876   | I  | By wife 401<br>(k) Plan,<br>per Plan<br>statement<br>dated<br>2/28/2019 |
| Common<br>Stock   |                                      |              |  |                            |  |  | 24,530   | I  | By self as<br>trustee for<br>benefit of<br>child.                       |
| Common<br>Stock   |                                      |              |  |                            |  |  | 175,533  | I  | By wife.  |

### Edgar Filing: NORDSTROM PETER E - Form 4/A

Common Stock By self as trustee for benefit of second child

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amoun Underlying Securiti (Instr. 3 and 4) |                              |
|---|---|---|---|---|--|--|--------------------|---|------------------------------|
|   |   |   |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amou<br>or<br>Numb<br>of Sha |
| Employee<br>Stock Option<br>(right to buy)          | \$ 45.33  | 03/05/2019                              |   | A                                       | 73,069<br>(1)  | (2)  | 03/05/2029         | Common<br>Stock   | 73,0                         |
| Performance<br>Share Units                          | <u>(3)</u>  | 03/05/2019                              |   | A                                       | 37,629   | 03/05/2022   | 01/29/2022         | Common<br>Stock   | 37,6                         |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |              |       |  |  |
|--|---------------|-----------|--------------|-------|--|--|
| 1 0  | Director      | 10% Owner | Officer      | Other |  |  |
| NORDSTROM PETER E<br>C/O NORDSTROM, INC.<br>1617 SIXTH AVENUE<br>SEATTLE, WA 98101 | X             |           | Co-President |       |  |  |

## **Signatures**

Eunice Chung, Attorney-in-Fact for Peter E.
Nordstrom
03/28/2019

\*\*Signature of Reporting Person Date

Reporting Owners 2

### Edgar Filing: NORDSTROM PETER E - Form 4/A

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4 is being amended to report the number of options granted, calculated pursuant to a formula approved by the Compensation Committee. The number was unknown at the time of original filing.
- (2) Granted under the issuer's 2010 Equity Incentive Plan, exercisable in four equal annual installments, beginning on 3/10/2020.
- Each Performance Share Unit (PSU) represents a contingent right to receive one share of the Company's common stock. The percentage (3) of PSUs granted that will actually be earned at the end of the three-year period is based upon the Company's free cash flow growth,
- (3) of PSUs granted that will actually be earned at the end of the three-year period is based upon the Company's free cash flow growth, earnings before interest and tax (EBIT) margin percent, and market share results over that same period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.