Edgar Filing: BUTH JAY S. - Form 4

BUTH JAY S.

Form 4 February 11,											
FORM	1 /	STATES					NGE C	COMMISSION	OMB	PPROVAL 3235-0287	
Wasnington, D.C. 20549 Number:							January 31, 2005 average rs per				
(Print or Type I	Responses)										
			2. Issuer Name and Ticker or Trading Symbol NORTHEAST UTILITIES [NU]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (N	Middle)	3. Date of Earliest Transaction								
			(Month/Day/Year) 02/09/2010					Director 10% Owner Officer (give title Other (specify below) below) VP-Accounting and Controller			
				endment, Date Original onth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any		3. Transactic Code (Instr. 8) Code V	(Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Shares, \$5.00 par value	02/09/2010			A	1,564 (1)	A	\$ 25.74	3,446 <u>(2)</u>	D		
Common Shares, \$5.00 par value								76 <u>(3)</u>	I	401K Plan	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

1

Edgar Filing: BUTH JAY S. - Form 4

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and s	Securities	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Shares	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Common Shares, \$5.00 par value	0 (4)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BUTH JAY S. C/O NORTHEAST UTILITIES 56 PROSPECT STREET HARTFORD, CT 06103			VP-Accounting and Controller				
Signatures							

Jay S. Buth

02/11/2010

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted share units which vest in equal portions on February 25, 2011, 2012, 2013. Restricted share units are distributable in Northeast Utilities common shares on a one-for-one basis.
- (2) Includes restricted share units and reinvested dividend equivalents thereon.
- (3) Shares held in trust under the Northeast Utilities Service Company 401k Plan, a qualified plan, as of February 9, 2010, according to information supplied by the plan's record keeper.

Edgar Filing: BUTH JAY S. - Form 4

The reporting person holds phantom shares of NU common under the Northeast Utilities Deferred Compensation Plan for Executives, a

(4) non-qualified deferred compensation plan (the DCP), made as matching contributions under the DCP. Each phantom share represents the right to receive the cash value of one share of NU common upon a distribution event. Additional phantom shares are issued from the automatic reinvestment of dividend-equivalents exempt from line item reporting under SEC Rule 16a-11.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.